

CLASSIFICATION OF RANK 2 CLUSTER VARIETIES

TRAVIS MANDEL

ABSTRACT. We classify rank 2 cluster varieties (those for which the span of the rows of the exchange matrix is 2-dimensional) according to the deformation type of a generic fiber U of their \mathcal{X} -spaces, as defined by Fock and Goncharov. Our approach is based on the work of Gross, Hacking, and Keel for cluster varieties and log Calabi-Yau surfaces. Call U positive if $\dim[\Gamma(U, \mathcal{O}_U)] = \dim(U)$ (which equals 2). This is the condition for the [GHK11] construction to produce an additive basis of theta functions on $\Gamma(U, \mathcal{O}_U)$. We find that U is positive and either finite-type or non-acyclic (in the usual cluster sense) if and only if the monodromy of the tropicalization U^{trop} of U is one of Kodaira's monodromies. In these cases we prove uniqueness results about the log Calabi-Yau surfaces whose tropicalization is U^{trop} and about consistent scattering diagrams on U^{trop} . We also describe the action of the cluster modular group on U^{trop} in the positive cases.

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1. INTRODUCTION

[FG09] defines a class of schemes, called cluster varieties, whose rings of global regular functions are upper cluster algebras. [GHK13] describes how to view cluster varieties as certain blowups of toric varieties. We review this description, as well as [GHK11]'s construction of the tropicalization of a log Calabi-Yau surface. We then use these ideas to give a classification of rank¹ 2 cluster varieties (those for which the symplectic leaves of the \mathcal{X} -space are 2 dimensional) and to describe their cluster modular groups. This can also be viewed as a classification of log Calabi-Yau surfaces.

By a *log Calabi-Yau surface* or a *Looijenga interior*, we mean a surface U which can be realized as $Y \setminus D$, where Y is a smooth, projective, rational surface over an algebraically closed field \mathbb{k} of characteristic 0, and the *boundary* D is a choice of nodal anti-canonical divisor in Y . $D = D_1 + \dots + D_n$ is either a cycle of smooth irreducible rational curves D_i with normal crossings, or if $n = 1$, D is an irreducible curve with one node. By a *compactification* of U , we mean such a pair (Y, D) ([GHK] calls these compactifications with “maximal boundary”). We call (Y, D) a *Looijenga pair*, as in [GHK11].

¹Cluster algebraists often take rank 2 to mean that the exchange matrix is 2×2 . However, we use rank to mean the dimension of the space spanned by the rows or columns of the exchange matrix.

Toric varieties are the most basic examples, and every such U can be obtained by performing certain blowups on a toric surface, cf. Lemma 2.10.

1.1. Outline of the Paper.

Cluster Varieties: §2 reviews [FG09]’s definition of cluster varieties and summarizes [GHK13]’s description of cluster varieties as certain blowups of toric varieties (up to codimension 2). In particular, we review §5 of [GHK13], which shows that log Calabi-Yau surfaces are roughly the same as fibers of rank 2 cluster \mathcal{X} -varieties. Our classification of cluster varieties will be up to deformation classes of these associated log Calabi-Yau surfaces. In §2.6 and §2.7, we review [FG09]’s definitions of the cluster modular group Γ and the cluster complex \mathcal{C} . **Theorem 2.20** gives a simpler definition of Γ by showing that the triviality of cluster transformations can be checked on $\mathcal{A}^{\text{trop}}$ or $\mathcal{X}^{\text{trop}}$, rather than needing to examine the full \mathcal{A} and \mathcal{X} -spaces.

The Tropicalization of U : In §3, we review [GHK11]’s construction of the tropicalization U^{trop} of a log Calabi-Yau surface. U^{trop} is homeomorphic to \mathbb{R}^2 , but it has a natural integral linear structure that captures the intersection data of the boundary divisors. The integer points $U^{\text{trop}}(\mathbb{Z}) \subset U^{\text{trop}}$ generalize the cocharacter lattice N for toric varieties, and U^{trop} itself generalizes $N_{\mathbb{R}} := N \otimes \mathbb{R}$.

The integral linear structure is singular at a point $0 \in U^{\text{trop}}$, and in §3.5 we examine the monodromy around this point. In §3.6, we discuss properties of lines in U^{trop} . For example, the monodromy in U^{trop} may make it possible for lines to wrap around the origin and self-intersect. §3.7 introduces some automorphisms of U^{trop} that we will see in §5 are induced by the action of Γ . In §3.8, we review some lemmas from [Man14] which will be useful for the classification in §4.

§3.9 shows that, although U^{trop} does not in general determine the deformation type of U , it does at least determine the *charge* of U , which is the number of “non-toric blowups” necessary to realize a compactification of U as a blowup of a toric variety.

Classification: §4 offers several equivalent classifications of rank 2 cluster varieties, or rather, of the deformation types of the log Calabi-Yau surfaces U that arise as the fibers of cluster \mathcal{X} -varieties. The characterizations are based on several different properties of these varieties, including (but not limited to):

- The properties of the quivers and Cartan matrices associated to the cluster variety—e.g., Dynkin (finite-type), acyclic, or non-acyclic.
- The space of global regular functions on U —e.g., all constant, or including some, all, or no cluster \mathcal{X} -monomials.
- The intersection data of the boundary D for a compactification of U —e.g., whether $(D_i \cdot D_j)$ is negative (semi)definite or not. We call the cases which are not negative semidefinite *positive*, as in [GHK11].
- The geometry of U^{trop} , including the monodromy and properties of lines.
- The intersection form Q on the lattice $D^{\perp} \subset A_1(Y, \mathbb{Z})$ of curve classes which do not intersect any component of D .
- The intersection of the cluster complex (a subset of $\mathcal{X}^{\text{trop}}$) with U^{trop} —e.g., some, all, or none of U^{trop} .

For example, we find that U corresponds to an “acyclic” cluster variety if and only if some straight lines in U^{trop} do not wrap all the way around the origin. The cases where no lines wrap correspond to “finite-type” cluster varieties. We show that the inverse monodromies of U^{trop} in these finite-type cases are Kodaira’s monodromy matrices I_n , II , III , and IV , from his classification of singular

fibers in elliptic surfaces in [Kod63]. Similarly, the non-acyclic positive cases correspond to Kodaira's matrices I_n^* , II^* , III^* , and IV^* —furthermore, the intersection form Q on D^\perp here is of type D_{n+4} ($n \geq 0$) or E_n , $n = 8, 7$, or 6 , respectively (cf. Table 1). The deformation types for these cases *are* uniquely determined by U^{trop} , and we describe how to construct each of these cases explicitly.

Cluster Modular Groups: [FG09] defines a certain group Γ of automorphisms of cluster varieties, called the *cluster modular group*. In §5 we explicitly describe the action of Γ on U^{trop} in all the positive cases (cf. Table 3). This action is interesting because, in addition to capturing most of the relevant data about Γ , it preserves the scattering diagram which [GHK11] and [GHKK] use to construct canonical theta functions on the mirror. Symmetries of the scattering diagram induced by mutations were previously observed in Theorem 7 of [GP09], although they did not put this in the language of cluster varieties or describe the full groups of automorphisms induced in this way.

We end by applying several of the previous results to prove **Theorem 5.4**, which says that if the monodromy of U^{trop} is any of Kodaira's monodromies, then U^{trop} uniquely determines U up to “strong” deformation equivalence, and there is an essentially unique scattering diagram on U^{trop} which is consistent in the sense of [GHK11].

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2. CLUSTER VARIETIES AS BLOWUPS OF TORIC VARIETIES

In [FG09], Fock and Goncharov construct spaces called cluster varieties by gluing together algebraic tori via certain birational transformations called mutations. [GHK13] interprets these mutations from the viewpoint of birational geometry, and thereby relates the log Calabi-Yau surfaces of [GHK11] to cluster varieties. This section will summarize some of the main ideas from [GHK13].

2.1. Defining Cluster Varieties. The following construction is due to Fock and Goncharov [FG09].

Definition 2.1. A *seed* is a collection of data

$$S = (N, I, E := \{e_i\}_{i \in I}, F, \langle \cdot, \cdot \rangle, \{d_i\}_{i \in I}),$$

where N is a finitely generated free Abelian group, I is a finite index set, E is a basis for N indexed by I , F is a subset of I , $\langle \cdot, \cdot \rangle$ is a skew-symmetric \mathbb{Q} -valued bilinear form, and the d_i 's are positive rational numbers called *multipliers*. We call e_i a *frozen* vector if $i \in F$. The *rank* of a seed or of a cluster variety will mean the rank of $\langle \cdot, \cdot \rangle$.

We define another bilinear form on N by

$$(e_i, e_j) := \epsilon_{ij} := d_j \langle e_i, e_j \rangle,$$

and we require that $\epsilon_{ij} \in \mathbb{Z}$ for all $i, j \in I$. Let $M = N^*$. Define²

$$p_1^* : N \rightarrow M, v \mapsto (v, \cdot), \quad p_2^* : N \rightarrow M, v \mapsto (\cdot, v).$$

Let $K_i := \ker(p_i^*)$, $\overline{N}_i := \text{im}(p_i^*) \subseteq M$, $\overline{e}_i := p_1^*(e_i)$, and $v_i := p_2^*(e_i)$. For each $i \in I$, define a “modified multiplier” d'_i by saying that v_i is d'_i times a primitive vector in M .

²Beware that our subscripts for p_1^* and p_2^* do not mean the same thing as for [GHK13]'s p_1^* and p_2^* .

Remark 2.2. Given only the matrix (e_i, e_j) and the set F , we can recover the rest of the data, up to a rescaling of $\langle \cdot, \cdot \rangle$ and a corresponding rescaling of the d_i 's. This rescaling does not affect the constructions below, and it is common to take the scaling out of the picture by assuming that the d_i 's are relatively prime integers (although we do not make this assumption). Also, notice that $\langle \cdot, \cdot \rangle$ and $\{d'_i\}$ together determine $\{d_i\}$, so when describing a seed we may at times give $\{d'_i\}$ instead of $\{d_i\}$.

Observations 2.3.

- K_1 is also equal to $\ker(v \mapsto \langle v, \cdot \rangle)$, so $\langle \cdot, \cdot \rangle$ induces non-degenerate skew-symmetric form on $\overline{N_1}$. This also means that we could have equivalently defined the rank to be that of (\cdot, \cdot) .
- Define another skew-symmetric bilinear form on N by $[e_i, e_j] := d_i d_j \langle e_i, e_j \rangle$. Then $K_2 = \ker(v \mapsto [\cdot, v])$, so $[e_i, e_j]$ induces a non-degenerated skew-symmetric form on $\overline{N_2}$. We can extend this to $\overline{N_2}^{\text{sat}}$ (the saturation in M of $\overline{N_2}$), and after possibly rescaling $[\cdot, \cdot]$ (and adjusting the d_i 's accordingly) we can identify this with the standard skew-symmetric form on $\overline{N_2}^{\text{sat}}$ with the induced orientation. We will denote this form and the induced symplectic form on $\overline{N_{2,\mathbb{R}}}$ by $(\cdot \wedge \cdot)$. Here and in the future, \mathbb{R} in the subscript means the lattice tensored with \mathbb{R} .
- We note that the seed obtained from S by replacing $\langle \cdot, \cdot \rangle$ with $[\cdot, \cdot]$ and d_i with d_i^{-1} produces the *Langland's dual seed* S^\vee described in [FG09]. Switching to S^\vee has the effect of replacing (\cdot, \cdot) with its negative transpose, thus switching the roles of (and negating) p_1^* and p_2^* .
- Since $(\cdot, e_i) = -d_i \langle e_i, \cdot \rangle$, we see that $\text{im}(p_2^*)$ and $\text{im}(v \mapsto \langle v, \cdot \rangle)$ span the same subspace of $M_{\mathbb{R}}$. Thus, there is a canonical isomorphism $\overline{N_{2,\mathbb{R}}} \cong \overline{N_{1,\mathbb{R}}}$. One checks that this is a symplectomorphism with respect to the symplectic forms induced by $[\cdot, \cdot]$ and $\langle \cdot, \cdot \rangle$.

Given a seed S as above and a choice of non-frozen vector $e_j \in E$, we can use a *mutation* to define a new seed $\mu_j(S) := (N, I, E' = \{e'_i\}_{i \in I}, F, \langle \cdot, \cdot \rangle, \{d_i\})$, where the (e'_i) 's are defined by

$$(1) \quad e'_i = \mu_j(e_i) := \begin{cases} e_i + \epsilon_{ij} e_j & \text{if } \epsilon_{ij} > 0 \\ -e_i & \text{if } i = j \\ e_i & \text{otherwise.} \end{cases}$$

Mutation with respect to frozen vectors is not allowed. Note that although the bases change, the form $\langle \cdot, \cdot \rangle$ does not, so K_1 and $\overline{N_1}^{\text{sat}}$ are invariant under mutation. The same is true for K_2 and $\overline{N_2}^{\text{sat}}$, as can similarly be seen using the Langland's dual seed and $[\cdot, \cdot]$ —one can check that the procedure for obtaining S^\vee from S commutes with mutation.

Given a lattice L and some $v \in L^*$, we will denote by z^v the corresponding monomial on $T_L := L \otimes \mathbb{k}^* = \text{Spec } \mathbb{k}[L^*]$ (more precisely, max-Spec of $\mathbb{k}[L^*]$). Corresponding to a seed S , we can define a so-called seed \mathcal{X} -torus $X_S := T_M = \text{Spec } \mathbb{k}[N]$, and a seed \mathcal{A} -torus $A_S := T_N = \text{Spec } \mathbb{k}[M]$. We define *cluster monomials* $X_i := z^{e_i} \in \mathbb{k}[N]$ and $A_i := z^{e_i^*} \in \mathbb{k}[M]$, where $\{e_i^*\}_{i \in I}$ is the dual basis to E .

Remark 2.4. In place of M , other authors typically use the superlattice $(M)^\circ \subset M \otimes \mathbb{Q}$ spanned over \mathbb{Z} by vectors $f_i := d_i^{-1} e_i^*$. They then take $A_i := (z^{f_i}) \in \mathbb{k}[M^\circ]$. It seems to this author that this significantly complicates the exposition and the formulas that follow with little or no benefit, and so we do not follow this convention.

For any $j \in I$, we have a birational morphism $\mu_j^{\mathcal{X}} : \mathcal{X}_S \rightarrow \mathcal{X}_{\mu_j(S)}$, called a cluster \mathcal{X} -mutation, defined by

$$(\mu_j^{\mathcal{X}})^* X'_i = X_i \left(1 + X_j^{\text{sign}(-\epsilon_{ij})} \right)^{-\epsilon_{ij}} \quad \text{for } i \neq j; \quad (\mu_j^{\mathcal{X}})^* X'_j = X_j^{-1}.$$

Similarly, we can define a cluster \mathcal{A} -mutation $\mu_j^{\mathcal{A}} : \mathcal{A}_S \rightarrow \mathcal{A}_{\mu_j(S)}$,

$$A_j(\mu_j^{\mathcal{A}})^* A'_i = \prod_{i:\epsilon_{ji}>0} A_i^{\epsilon_{ji}} + \prod_{i:\epsilon_{ji}<0} A_i^{-\epsilon_{ji}}; \quad (\mu_j^{\mathcal{A}})^* A'_i = A_i \quad \text{for } i \neq j.$$

Now, the cluster \mathcal{X} -variety \mathcal{X} is defined by using compositions of \mathcal{X} -mutations to glue $\mathcal{X}_{S'}$ to \mathcal{X}_S for every seed S' which is related to S by some sequence of mutations. Similarly for the cluster \mathcal{A} -variety \mathcal{A} , with \mathcal{A} -tori and \mathcal{A} -mutations. The *cluster algebra* is the subalgebra of $\mathbb{k}[M]$ generated by the cluster variables A_i of every seed that we can get to by some sequence of mutations. In this context, the well-known Laurent phenomenon simply says that all the cluster variables are regular functions on \mathcal{A} . The ring of all global regular functions on \mathcal{A} is called the *upper cluster algebra*.

On the other hand, the X_i 's do not always extend to global functions on \mathcal{X} . When a monomial on a seed torus (i.e., a monomial in the X_i 's for a fixed seed) does extend to a global function on \mathcal{X} , we call it a *global monomial*, as in [GHK13].

2.1.1. Quivers and Seeds. We now describe a standard way to represent the data of a seed with the data of a (decorated) quiver. Each seed vector e_i corresponds to a vertex V_i of the quiver. The number of arrows from V_i to V_j is equal to $\langle e_i, e_j \rangle$, with a negative sign meaning that the arrows actually go from V_j to V_i . Each vertex V_i is decorated with the number d_i . Furthermore, the vertices corresponding to frozen vectors are boxed. Observe that all the data of the seed can be recovered from the quiver.

Now, a seed is called *acyclic* if the corresponding quiver contains no directed paths that do not pass through any frozen (boxed) vertices. A cluster variety is called acyclic if any of the corresponding seeds are acyclic. It is easy to see that a seed S is acyclic if and only if there is some closed half-space in $\overline{N_2}$ which contains v_i for every $i \in I \setminus F$.

2.2. The Geometric Interpretation. As in [GHK13], for a lattice L with dual L^* and with $u \in L$, $\psi \in L^*$, define

$$m_{u,\psi,L} : T_L \dashrightarrow T_L$$

$$m_{u,\psi,L}^*(z^\varphi) = z^\varphi (1 + z^\psi)^{-\varphi(u)} \quad \text{for } \varphi \in L^*.$$

One can check that the mutations above satisfy

$$(2) \quad (\mu_j^{\mathcal{X}})^* = m_{(\cdot, e_j), e_j, M}^* : z^v \mapsto z^v (1 + z^{e_j})^{-(v, e_j)}$$

$$(\mu_j^{\mathcal{A}})^* = m_{e_j, (e_j, \cdot), N}^* : z^\gamma \mapsto z^\gamma (1 + z^{(e_j, \cdot)})^{-\gamma(e_j)}.$$

Definition 2.5. A seed S is called *coprime* if v_i is not a positive rational multiple of v_j for any distinct $i, j \in I \setminus F$. S is called *totally coprime* if every seed mutation equivalent to S is coprime.

The following key lemma, compiled from §3 of [GHK13], is what leads to the nice geometric interpretations of mutations and cluster varieties.

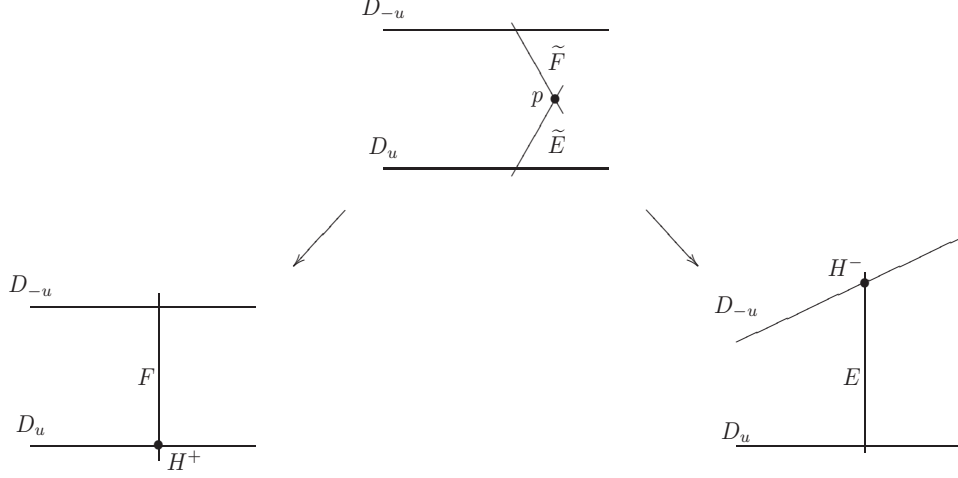


FIGURE 2.1. A mutation involves blowing up a hypertorus H^+ in D_u (left arrow) and then contracting the proper transform \tilde{F} of the fibers F which hit H_+ (right arrow), down to a hypertorus H^- in D_{-u} . \tilde{E} denotes the exceptional divisor, with E being its image after the contraction of \tilde{F} . The locus $p = \tilde{E} \cap \tilde{F}$ has codimension 2 and does not appear in the cluster variety.

Lemma 2.6 ([GHK13]). *Suppose that u is primitive in a lattice L . Let Σ be a fan in L with rays corresponding to u and $-u$. Recall that the toric variety $TV(\Sigma)$ admits a \mathbb{P}^1 fibration π with D_u and D_{-u} as sections, corresponding to the projection $L \rightarrow L/\mathbb{Z}\langle u \rangle$.*

The mutation $\mu_{u,\psi,L}$ is the birational map on $T_L \subset TV(\Sigma)$ coming from blowing up the “hypertorus”

$$H^+ := \{1 + z^\psi = 0\} \cap D_u$$

and then contracting the proper transforms of the fibers F of π which intersect this hypertorus. Furthermore, $\mu_j^{\mathcal{X}}$ preserves the centers of the blowups corresponding to $\mu_i^{\mathcal{X}}$ for each $i \neq j$. If S is totally coprime, then μ_j^A preserves the centers for blowups corresponding to μ_i^A for each $i \neq j$.

Thus, a cluster \mathcal{X} -mutation $(\mu_j^{\mathcal{X}})^*$ corresponds to blowing up $\{X_j = -1\} \cap D_{(\cdot, e_j)}$, followed by blowing down some fibers of a certain \mathbb{P}^1 fibration, and repeating for a total of d'_j times (since (\cdot, e_j) is d'_j times a primitive vector, and $m_{(\cdot, e_j), e_j, M} = [m_{(\cdot, e_j)/d'_j, e_j, M}]^{d'_j}$). The new seed torus is only different from the old one in that it is missing the blown-down fibers of the initial \mathbb{P}^1 fibration, but has gained the exceptional divisor from the final blowup (except for the lower-dimensional set of points where this exceptional divisor intersects a blown-down fiber, represented by p in Figure 2.1).

Since the centers of the blowups corresponding to the other mutations have not changed, this shows that the cluster \mathcal{X} -variety can be constructed (up to codimension 2) as follows: For any seed S , take a fan in M with rays generated by $\pm v_i$ for each i , and consider the corresponding toric variety. For each $i \in I \setminus F$, blow up the hypertorus $\{X_i = -1\} \cap D_{(\cdot, e_i)}$ d'_i times, and then remove the first $(d'_i - 1)$ exceptional divisors. The cluster \mathcal{X} variety is then the complement of the proper transform of the toric boundary.

Remark 2.7. In this construction of \mathcal{X} , the centers for the hypertori we blow up may intersect if $(\cdot, e_i) = (\cdot, e_j)$ for some $i \neq j$, so some care must be taken regarding the ordering of the blowups. Fortunately, this issue only matters in codimension at least 2 (cf. [GHK13] for more details). However,

when we consider fibers of \mathcal{X} below, it is possible that some special fibers will have discrepancies in codimension 1. We will use the notation \mathcal{X}^{ft} to denote that we are restricting to the variety constructed as above for some fixed ordering of the blowups, and keep in mind that while $\mathcal{X} \setminus \mathcal{X}^{\text{ft}}$ is codimension 2 in \mathcal{X} , there may be special fibers of \mathcal{X} whose intersection with $\mathcal{X} \setminus \mathcal{X}^{\text{ft}}$ is codimension 1 in the fiber. As we will see below, \mathcal{A} is a torsor over what is perhaps the “most special” fiber of \mathcal{X} . The failure of mutations to preserve the centers of blowups for non-totally coprime \mathcal{A} may be viewed as a consequence of such codimension 1 discrepancies in the special fiber.

Remark 2.8. We have seen that codimension 2 issues arise as a result of missing points like p in Figure 2.1, and also as a result of reordering the blowups. There are also missing contractible complete subvarieties—the $(d'_j - 1)$ exceptional divisors we remove when applying $(\mu_j^{\mathcal{X}})^*$. These issues are relatively unimportant from the viewpoint of understanding canonical bases, since they do not affect the space of global regular functions on \mathcal{X} . When we want to stress that we are only interested in \mathcal{X} or its fibers up to these issues, we will say “up to irrelevant loci.”

2.3. The Cluster Exact Sequence. Observe that for each seed S , there is a not necessarily exact³ sequence

$$0 \rightarrow K_2 \rightarrow N \xrightarrow{p_2^*} M \rightarrow K_1^* \rightarrow 0.$$

Here, $M \rightarrow K_1^*$ is the map dual to the inclusion $K_1 \hookrightarrow N$. Tensoring with \mathbb{k}^* yields an exact sequence, and one can check (cf. Lemma 2.10 of [FG09]) that this sequence commutes with mutation. Thus, one obtains the exact sequence

$$1 \rightarrow T_{K_2} \rightarrow \mathcal{A} \xrightarrow{p_2} \mathcal{X} \xrightarrow{\lambda} T_{K_1^*} \rightarrow 1.$$

Let $\mathcal{U} := p_2(\mathcal{A}) \subset \mathcal{X}$. The sequence $1 \rightarrow T_{K_2} \rightarrow \mathcal{A} \rightarrow \mathcal{U} \rightarrow 1$ should be viewed as a generalization of the construction of toric varieties as quotients, with \mathcal{U} being the generalization of the toric variety.⁴ In fact, Section 4 of [GHK13] shows that for totally coprime seeds (and also for generic coefficients), the ring of global sections of \mathcal{A} is the Cox ring of \mathcal{U} . In this paper, we are more interested in the fibers of λ .

2.4. Looijenga Interiors. §5 of [GHK13] shows that Looijenga interiors (i.e., log Calabi-Yau surfaces), as defined in §1, are exactly the surfaces (up to irrelevant loci) which arise as fibers of $\lambda|_{\mathcal{X}^{\text{ft}}}$ for rank 2 cluster varieties. We explain this now.

Definitions 2.9. For a Looijenga pair (Y, D) as in §1, we define a *toric blowup* to be a Looijenga pair (\tilde{Y}, \tilde{D}) together with a birational map $\tilde{Y} \rightarrow Y$ which is a blowup at a nodal point of the boundary D , such that \tilde{D} is the preimage of D . Note that taking a toric blowup does not change the interior $U = Y \setminus D = \tilde{Y} \setminus \tilde{D}$. We also use the term toric blowup to refer to finite sequences of such blowups.

By a *non-toric blowup* $(\tilde{Y}, \tilde{D}) \rightarrow (Y, D)$, we will always mean a blowup $\tilde{Y} \rightarrow Y$ at a non-nodal point of the boundary D such that \tilde{D} is the proper transform of D . Let (\bar{Y}, \bar{D}) be a Looijenga pair where \bar{Y} is a toric variety and \bar{D} is the toric boundary. We say that a birational map $Y \rightarrow \bar{Y}$ is a *toric model* of (Y, D) (or of U) if it is a finite sequence of non-toric blowups.

³ $\text{im}(M)$ might not be saturated in K_1^* , resulting in torsion elements in the quotient.

⁴This sequence actually generalizes the construction for toric varieties without boundary (i.e., just algebraic tori). However, we expect to show in a future paper how to allow for boundary components by allowing partial compactifications of \mathcal{A} and \mathcal{U} .

Lemma 2.10 ([GHK11], Prop. 1.19). *Every Looijenga pair has a toric blowup which admits a toric model.*

According to [GHK], all deformations of U come from sliding the non-toric blowup points along the divisors $\overline{D}_i \subset D$ without ever moving them to the nodes of D . We call U *positive* if some deformation of U is affine. This is equivalent to saying that D supports an effective D -ample divisor, meaning a divisor whose intersection with each component of D is positive. We will always take the term D -ample to imply effective. See §4.3 for other equivalent characterizations of U being positive.

To see that Looijenga interiors are the same as fibers of $\lambda|_{\mathcal{X}^{\text{ft}}}$ for rank 2 cluster varieties, up to irrelevant loci, we will need the following lemma from [GHK13].

Lemma 2.11 ([GHK13], Lemma 5.1). *Let H_+ be the intersection of the zero set of $1 + z^{e_i}$ with D_{v_i} . Let $t \in T_{K_1^*}$. Then $H_+ \cap \lambda^{-1}(t)$ consists of $|\overline{e}_i|$ points, where $|\overline{e}_i|$ is the index of $\overline{e}_i := p_1^*(e_i)$ in \overline{N}_1 (i.e., \overline{e}_i is $|\overline{e}_i|$ times a primitive vector in \overline{N}_1).⁵*

Now, in light of Lemmas 2.10 and 2.11 and the description of \mathcal{X}^{ft} in §2.2, it is clear that for $\langle \cdot, \cdot \rangle$ rank 2, every fiber of $\lambda|_{\mathcal{X}^{\text{ft}}}$ is a Looijenga interior, up to irrelevant loci. For the converse, we use the following:

Construction 2.12. Following Construction 5.3 of [GHK13], let U be a Looijenga interior. Choose a compactification (Y, D) admitting a toric model $\pi : (Y, D) \rightarrow (\overline{Y}, \overline{D})$. Let $N_{\overline{Y}}$ be the cocharacter lattice of \overline{Y} . Let $(\cdot \wedge \cdot) : N_{\overline{Y}}^2 \rightarrow \mathbb{Z}$ denote the standard wedge form.

Suppose that π consists of d'_i non-toric blowups at a point $q_i \in \overline{D}_{u_i}$, $i = 1, \dots, s$, where \overline{D}_{u_i} is the divisor corresponding to the ray $\mathbb{R}_{\geq 0}u_i \subset N_{\overline{Y}, \mathbb{R}}$, $u_i \in N_{\overline{Y}}$ primitive. We can assume that the q_i 's are distinct. We extend this to a set $\overline{E} := \{u_1, \dots, u_s, u_{s+1}, \dots, u_m\}$ of not necessarily distinct primitive vectors generating $N_{\overline{Y}}$, and we choose positive integers d'_{s+1}, \dots, d'_m .

Now, let S be the seed with N freely generated by a set $E = \{e_1, \dots, e_m\}$, $I = \{1, \dots, m\}$, $F := \{s+1, \dots, m\}$, $\{d'_i\}$ as above, and $\langle e_i, e_j \rangle := u_i \wedge u_j$. Note that we can identify $\overline{N}_2^{\text{sat}}$ with $N_{\overline{Y}}$ via the identification $v_i = d'_i u_i$. Similarly, we can identify $\overline{N}_1 \cong N/K_1$ with $N_{\overline{Y}}$ via the identification $\langle e_i, \cdot \rangle = u_i$. Thus, each \overline{e}_i is primitive in \overline{N}_1 .

Using S to construct \mathcal{X} , the interpretation of \mathcal{X} -mutations from §2.2, together with Lemma 2.11, reveals that U is deformation equivalent to the generic fibers of λ , up to irrelevant loci. A bit more work shows that U is in fact isomorphic to some such a fiber, up to irrelevant loci.

This construction shows that:

Theorem 2.13 ([GHK13]). *Up to irrelevant loci, every Looijenga interior can be identified with the generic fiber of some rank 2 cluster \mathcal{X} -variety, and conversely, any generic fiber of a rank 2 cluster \mathcal{X} -variety is a Looijenga pair.*

Example 2.14. Consider the case where Y is a cubic surface, obtained by blowing up 2 points on each boundary divisor of $(\overline{Y} \cong \mathbb{P}^2, \overline{D} = D_1 + D_2 + D_3)$. We can take

$$\overline{E} = \{(1, 0), (1, 0), (0, 1), (0, 1), (-1, -1), (-1, -1)\},$$

with each $d_i = d'_i = 1$ and F empty. Then the fibers of the resulting \mathcal{X} -variety \mathcal{X}_1 correspond to the different possible choices of blowup points on the D_i 's. The fiber \mathcal{U} is very special, having four

⁵If \mathbb{k} is not algebraically closed, Lemma 2.11 might not be true, but it at least holds for \overline{e}_i primitive in \overline{N}_1 .

(-2) -curves. If we instead take $\overline{E} = \{(1, 0), (0, 1), (-1, -1)\}$ with $\langle \cdot, \cdot \rangle$ given by $\begin{pmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{pmatrix}$, and

each $d_i = d'_i = 2$, then the fibers of the resulting \mathcal{X} -variety \mathcal{X}_2 include only the surfaces constructed by blowing up the same point twice on each D_i and then removing the three resulting (-2) -curves. \mathcal{U} is the fiber where the blowup points are colinear and so there is one remaining (-2) -curve.

The deformation type of the fibers of \mathcal{X}^{ft} has only changed by the removal of certain (-2) -curves, i.e., by some irrelevant loci. Note that $\mathcal{X}_2^{\text{ft}} = \mathcal{X}_2$, and that \mathcal{X}_2 can be identified (after filling in the removed (-2) -curves) with a subfamily of $\mathcal{X}_1^{\text{ft}}$ whose fibers do not agree with those of \mathcal{X}_1 in codimension 1.

These examples are well-known: the former corresponds to the Teichmüller space of the four-punctured sphere, while the latter corresponds to the Teichmüller space of the once-punctured torus (cf. §2.7 of [FG09]).

Recall the definition of a coprime seed from Definition 2.5. Note that a seed being coprime means that for each $i \in I \setminus F$, d'_i is the total number of non-toric blowups taken on the divisor corresponding to v_i . We now define a notion which in a sense means being as far from coprime as possible (although the two are not mutually exclusive).

Definition 2.15. We say a seed S is *maximally factored* if each $d'_i = 1$. Two seeds S_1 and S_2 (along with the associated cluster varieties) will be called *equivalent* if the generic fibers of the corresponding \mathcal{X} -varieties \mathcal{X}_1 and \mathcal{X}_2 are of the same deformation type, up to irrelevant loci.

Example 2.16. The first seed for the cubic surface in Example 2.14 is maximally factored, while the second seed is totally coprime. The two seeds are clearly equivalent since they both correspond to the cubic surface.

Example 2.14 above demonstrates that we can often change the number of vectors in a seed without changing the equivalence class of the fibers. For example, consider a seed $\{N = \mathbb{Z}\langle E \rangle, I, E = \{e_1, \dots, e_m\}, F, \langle \cdot, \cdot \rangle, \{d_i\}\}$ with each $d_i = d'_i$ such that each \overline{e}_i is primitive⁶ in \overline{N}_1 . Given a collection of partitions $d_i = d_{i,1} + \dots + d_{i,b_i}$, $d_{i,j} \in \mathbb{Z}_{\geq 0}$, we can define a new seed S' as follows: Let $E' : \{e_{i,j}\}$, $i = 1, \dots, m$, $j = 1, \dots, b_i$, and $N' := \mathbb{Z}\langle E' \rangle$. Define $\langle e_{i_1,j_1}, e_{i_2,j_2} \rangle' := \langle e_{i_1}, e_{i_2} \rangle$. We say the pair $(i, j) \in F'$ if $i \in F$. Finally, $d_{i,j}$ is as in the partitions. The corresponding space \mathcal{X}' is equivalent to the original \mathcal{X} . By this method, we can show that:

Proposition 2.17. *Every seed is equivalent to a coprime seed and to a maximally factored seed. Furthermore, by a sequence of mutation equivalences and equivalences as in Definition 2.15, every seed can be related to a totally coprime seed.*

Proof. For the latter statement, if S is not totally coprime, we mutate to a seed S' which is not coprime, then apply the first statement to take an equivalent seed S'' which is coprime. We repeat this if S'' is not totally coprime. Since S'' has lower dimension than S , this process terminates. \square

⁶Every rank 2 seed is equivalent to one with this primitivity condition because they all have Looijenga pairs as the fibers of their corresponding \mathcal{X}^{ft} . However, this condition can easily be avoided.

2.4.1. The Canonical Intersection Form. For S a maximally factored rank 2 seed and (Y, D) a corresponding Looijenga pair, [GHK13] describes a natural way to identify $K_2 := \ker(p_2^*)$ with $D^\perp := \{C \in A_1(Y, \mathbb{Z}) \mid C \cdot D_i = 0 \ \forall i\}$, thus inducing a canonical symmetric bilinear form Q on K_2 . This identification of K_2 with D^\perp is as follows: an element $v := \sum a_i e_i$ of K_2 corresponds to a relation $\sum a_i v_i = 0$ in $\overline{N}_2^{\text{sat}}$, which we recall from Construction 2.12 can be identified with $N_{\overline{Y}}$, where $Y \rightarrow \overline{Y}$ is a toric model corresponding to S . Standard toric geometry says that this determines a unique curve class C_v in $\pi^*[A_1(\overline{Y})]$ such that $C_v \cdot D_i = \sum a_j$ for each i , where the sum is over all j such that $D_{v_j} = D_i$. So we can define an isomorphism $\iota : K_2 \cong D^\perp$ by

$$v \mapsto C_v - \sum_i a_i E_i,$$

where E_i is the exceptional divisor corresponding to mutating with respect to e_i .

Finally, for $u_1, u_2 \in K_2$, define $Q(u_1, u_2) = \iota(u_1) \cdot \iota(u_2)$. We will see in §4 that D^\perp together with this intersection pairing tells us quite a bit about the deformation type of U . In particular, [GHK13] tells us that U is positive if and only if Q is negative definite.

Recall that varying the fiber of \mathcal{X} corresponds to changing the choices of non-toric blowup points on D . For some choices of blowup points, certain classes C in D^\perp may be represented by effective curves. Let $D_{\text{Eff}}^\perp \subseteq D^\perp$ be the sublattice generated by the curve classes which are represented by an effective curve on some fiber.

Example 2.18. For the seed from Example 2.14, K_2 is generated by $\{e_2 - e_1, e_4 - e_3, e_6 - e_5, e_1 + e_3 + e_5\}$. The corresponding curves in D^\perp are $\{E_1 - E_2, E_3 - E_4, E_5 - E_6, L - E_1 - E_3 - E_5\}$, where E_i is the exceptional divisor of the blowup corresponding to e_i , and L is a generic line in $\overline{Y} \cong \mathbb{P}^2$. Using $E_i \cdot E_j = -\delta_{ij}$, $L \cdot L = 1$, and $L \cdot E_i = 0$ for each i , one easily checks that this lattice has type D_4 . On the special fiber \mathcal{U} , these four curve classes are effective, so $D_{\text{Eff}}^\perp = D^\perp$.

2.5. Tropicalizations of Cluster Varieties. [FG09] describes *tropicalizations* $\mathcal{A}^{\text{trop}}$ and $\mathcal{X}^{\text{trop}}$ of the spaces \mathcal{A} and \mathcal{X} , respectively. Given a seed S , $\mathcal{A}^{\text{trop}}$ can be canonically identified as an integral piecewise-linear manifold with $N_{\mathbb{R}, S}$, and the integral points $\mathcal{A}^{\text{trop}}(\mathbb{Z})$ of the tropicalization are identified with N_S . For a different seed $\mu_j(S)$, the identification is related by the tropicalization of $\mu_j^{\mathcal{A}}$. This turns out to be the integral piecewise-linear function $\overline{\mu_j^{\mathcal{A}}} : N_{\mathbb{R}} \rightarrow N_{\mathbb{R}}$: that is, the Langland's dual seed mutation, with the overline indicating that e_j is mapped by the same piecewise-linear function as the other vectors, rather than being negated. Similarly for $\mathcal{X}^{\text{trop}}$ and $\mathcal{X}^{\text{trop}}(\mathbb{Z})$ using $M_{\mathbb{R}, S}$, M_S , and the dual seed mutations. We will use the subscript S to indicate that we are equipping the tropical space with the vector space structure corresponding to the seed S .

Our interest in this paper is primarily with the fibers U of λ . U^{trop} can be canonically identified⁷ with $\overline{N}_2 \otimes \mathbb{R} = p_2^*(\mathcal{A}^{\text{trop}}) \subset \mathcal{X}^{\text{trop}}$. Here, $U^{\text{trop}}(\mathbb{Z})$ is identified with N_2^{sat} , as evidenced in Construction 2.12. We will spend §3 analyzing U^{trop} in the rank 2 cases. [GHK11] has shown that in these cases, U^{trop} has a canonical integral linear structure which is closely related to the geometry of the compactifications (Y, D) .

2.6. The Cluster Modular Group. A *seed isomorphism* $h : S \rightarrow S'$ is an isomorphism of the underlying lattices which takes (frozen) seed vectors to (frozen) seed vectors (thus inducing a bijection $h : I \rightarrow I'$ taking F to F'), such that $d_i = d_{h(i)}$ and $\langle e_i, e_j \rangle = \langle h(e_i), h(e_j) \rangle'$. This induces a *cluster*

⁷Another perspective which might be worth exploring in the future would be to identify the tropicalizations of different fibers of λ with different fibers of λ^* , with only the fiber over e corresponding to what we call U^{trop} here.

isomorphism $h : \mathcal{X} \rightarrow \mathcal{X}'$ and $h : \mathcal{A} \rightarrow \mathcal{A}'$ given by $h^* X'_{h(e_i)} = X_i$ and $h^* A'_{h(e_i)} = A_i$, respectively, as well as an isomorphism from $\mathcal{U} := p_2(\mathcal{A}) \subset \mathcal{X}$ to $\mathcal{U}' := p_2(\mathcal{A}') \subset \mathcal{X}'$. A *seed transformation* is a composition of seed mutations and seed isomorphisms, and a *cluster transformation* is a composition of cluster mutations and cluster isomorphisms (i.e., the corresponding maps on \mathcal{A} and \mathcal{X}). A *trivial* seed automorphism (respectively, a trivial cluster automorphism) is a seed (respectively, cluster) transformation which acts trivially on $\mathcal{A}^{\text{trop}}$ and $\mathcal{X}^{\text{trop}}$ (respectively, which acts trivially on \mathcal{A} and \mathcal{X}).

Definition 2.19 ([FG09]). The *cluster modular group* Γ is the group of *cluster automorphisms* of a base seed S modulo trivial *cluster automorphisms*.

We will use the following equivalent definition which uses $\mathcal{A}^{\text{trop}}$ and $\mathcal{X}^{\text{trop}}$ instead of \mathcal{A} and \mathcal{X} :

Theorem 2.20. *The cluster modular group Γ is the group of seed automorphisms of a base seed S modulo trivial seed automorphisms.*

Proof. The mutation invariance of the scattering diagrams in [GHKK] implies that Γ preserves the scattering diagrams, and thus acts equivariantly on the theta functions. If a cluster automorphism h induces a trivial map $\mathcal{A}_S^{\text{trop}} \rightarrow \mathcal{A}_{S'}^{\text{trop}}$, then S and S' correspond to the same set of integral points in $\mathcal{A}^{\text{trop}}$. The same is then true for S^\vee and $(S')^\vee$, and so the induced action on $(\mathcal{A}^\vee)^{\text{trop}}$ is trivial. Thus, h acts trivially on the theta functions for \mathcal{X} , hence trivially on \mathcal{X} .

The induced action on $\mathcal{X}^{\text{trop}}$ must then be trivial, and the analogous argument then shows that h acts trivially on \mathcal{A} , hence on $\mathcal{A}^{\text{trop}}$. \square

Remark 2.21. The above proof shows that when checking whether or not a cluster transformation is trivial, it in fact suffices to check whether it acts trivially on $\mathcal{A}^{\text{trop}}$ or $\mathcal{X}^{\text{trop}}$, rather than checking both. This implies that the same is true for \mathcal{A} and \mathcal{X} , as conjectured in [FG09].

In fact, [GHKK] predicts that the theta functions depend only on the underlying variety and not on cluster structure. This would mean that *any* automorphism of the variety acts equivariantly on the theta functions, even if it changes the cluster structure.

We also define an *extended cluster modular group* $\widehat{\Gamma}$ by allowing seed isomorphisms to reverse the sign of the skew-symmetric form on N . For example, for a toric variety with cocharacter lattice N , Γ can be thought of as the subgroup of $\text{SL}(N)$ which preserves the fan, whereas $\widehat{\Gamma}$ can be thought of as the subgroup of $\text{GL}(N)$ preserving the fan. We will analyze the action of Γ on U^{trop} in §5, and we will briefly point out a couple interesting symmetries coming from $\widehat{\Gamma} \setminus \Gamma$ (Remark 5.2).

We note that $\widehat{\Gamma}$ is the same as the group of cluster automorphisms considered in [ASS12] (cf. their Lemma 2.3). The argument of Theorem 2.20 applies to $\widehat{\Gamma}$ to show that we can again consider either the action on the cluster varieties or on their tropicalizations.

2.7. The Cluster Complex. A seed S with seed vectors e_1, \dots, e_n determines a cone $C_S \subset \mathcal{X}_S^{\text{trop}} = (\mathcal{X}_{S^\vee})^{\text{trop}} := M_{\mathbb{R}, S}$ given by $e_i \geq 0$ for all $i \in I \setminus F$. The collection of all such cones in⁸ $(\mathcal{X}^\vee)^{\text{trop}}$ for every seed mutation equivalent to S is called⁹ the *cluster complex* \mathcal{C} . [GHKK] shows that \mathcal{C} forms a fan in $(\mathcal{X}^\vee)^{\text{trop}}$. The generators of the rays of this fan are sometimes called *g-vectors*.

⁸We will frequently refer to \mathcal{C} as if it lives in $\mathcal{X}^{\text{trop}}$ rather than $(\mathcal{X}^\vee)^{\text{trop}}$. This is technically incorrect and should really be viewed as the Langland's dual cluster complex. This issue is really harmless for us since we can take any Looijenga pair to correspond to a skew-symmetric cluster algebra.

⁹This is really the cone over what [FG09] calls the cluster complex.

\mathcal{C} is a particularly nice piece of the scattering diagram which [GHKK] uses for constructing canonical theta functions on the mirror \mathcal{A}^\vee to \mathcal{X} . By the arguments in the proof of Theorem 2.20, Γ can be viewed as the automorphisms of $\mathcal{X}^{\text{trop}}$ which preserve \mathcal{C} and restrict to symplectomorphisms on U^{trop} (as opposed to elements in $\widehat{\Gamma} \setminus \Gamma$ which reverse the sign of the symplectic form on U^{trop}). This is similar to [FG09]’s Lemma 2.15, except that they make reference to the cluster monomials whereas Theorem 2.20 lets us work only with the tropical spaces.

In §5 we will describe the action of the cluster modular group on U^{trop} . In many (conjecturally all) cases, every automorphism of U^{trop} (preserving its canonical oriented integral linear structure described below) is induced by an element of the cluster modular group.

3. U^{trop} AS AN INTEGRAL LINEAR MANIFOLD

Recall that U denotes a log Calabi-Yau surface. This section examines U^{trop} with its canonical integral linear structure defined in [GHK11].

3.1. Some Generalities on Integral Linear Structures. A manifold B is said to be (*oriented*) *integral linear* if it admits charts to \mathbb{R}^n which have transition maps in $\text{SL}_n(\mathbb{Z})$. We allow B to have a set O of singular points of codimension at least 2, meaning that these integral linear charts only cover $B' := B \setminus O$. B' has a canonical set of *integral points* which come from using the charts to pull back $\mathbb{Z}^n \subset \mathbb{R}^n$. Our space of interest, $B = U^{\text{trop}}$, will be homeomorphic to \mathbb{R}^2 and will typically have a singular point at 0 (which we say is also an integral point).

B' admits a flat affine connection, defined using the charts to pull back the standard flat connection on \mathbb{R}^n . Furthermore, pulling back along these charts give a local system Λ of integral tangent vectors on B' . We will be interested in the monodromy of Λ around O .

3.1.1. Integral Linear Functions. By a *linear map* $\varphi : B_1 \rightarrow B_2$ of integral linear manifolds, we mean a continuous map such that for each pair of integral linear charts $\psi_i : U_i \rightarrow \mathbb{R}^n$, $U_i \subset B'_i$ with $\varphi(U_1) \subset U_2$, we have that $\psi_2 \circ \varphi \circ \psi_1^{-1}$ is linear in the usual sense. φ is *integral linear* if it also takes integral points to integral points. By an *integral linear function*, we will mean an integral linear map to \mathbb{R} with its tautological integral linear structure.

We note that to specify an integral linear structure on an integral piecewise linear manifold (i.e., a manifold where transition functions are integral piecewise linear), it suffices to identify which piecewise linear functions are actually linear. These functions can then be used to construct charts. It therefore also suffices (in dimension 2) to specify which piecewise-straight lines are straight, since (piecewise-)straight lines form the fibers of (piecewise-)linear functions.

3.2. Constructing U^{trop} .

Notation 3.1. Given a toric model $(Y, D) \rightarrow (\overline{Y}, \overline{D})$, let N be the cocharacter lattice corresponding to $(\overline{Y}, \overline{D})$ (contrary to §2’s notation), and let $\Sigma \subset N_{\mathbb{R}}$ be the corresponding fan. Σ has cyclically ordered rays ρ_i , $i = 1, \dots, n$, with primitive generators v_i , corresponding to boundary divisors $\overline{D}_i \subset \overline{D}$ and $D_i \subset D$. Assume $N_{\mathbb{R}}$ is oriented so that ρ_{i+1} is counterclockwise of ρ_i . Let $\sigma_{u,v}$ denote the closed cone bounded by two vectors u, v , with u being the clockwise-most boundary ray. In particular, if u and v lie on the same ray, we define $\sigma_{u,v}$ to be just that ray. We may use variations of this notation, such as $\sigma_{i,i+1} := \sigma_{v_i, v_{i+1}}$ and v_ρ for the primitive generator of some arbitrary ray ρ with rational slope, but these variations should be clear from context.

We now use (Y, D) to define an integral linear manifold U^{trop} . As an integral piecewise-linear manifold, U^{trop} is the same as $N_{\mathbb{R}}$, with 0 being a singular point and $U^{\text{trop}}(\mathbb{Z}) := N$ being the integral points. Note that an integral Σ -piecewise linear (i.e., bending only on rays of Σ) function φ on U^{trop} can be identified with a Weil divisor of Y via $W_{\varphi} := a_1 D_1 + \dots + a_n D_n$, where $a_i = \varphi(v_i) \in \mathbb{Z}$. We define the integer linear structure of U^{trop} by saying that a function φ on the interior of $\sigma_{i-1,i} \cup \sigma_{i,i+1}$ ¹⁰ is linear if it is Σ -piecewise linear and $W_{\varphi} \cdot D_i = 0$. This last condition is (for $n \geq 2$) equivalent to

$$(3) \quad a_{i-1} + D_i^2 a_i + a_{i+1} = 0.$$

Remark 3.2. This construction of U^{trop} naturally generalizes to higher dimensions, but the two-dimensional case is special in that the linear structure on U^{trop} is canonically determined by (Y, D) (it does not depend on the choice of toric model). This is evident from the following atlas for U^{trop} (from [GHK11]): the chart on $\sigma_{i-1,i} \cup \sigma_{i,i+1}$ takes v_{i-1} to $(1, 0)$, v_i to $(0, 1)$, and v_{i+1} to $(-1, -D_i^2)$, and is linear in between.

Furthermore, toric blowups and blowdowns do not affect the integral linear structure, so as the notation suggests, U^{trop} and $U^{\text{trop}}(\mathbb{Z})$ depend only on the interior U .

Example 3.3. If (Y, D) is toric, then U^{trop} is just $N_{\mathbb{R}}$ with its usual integral linear structure. This follows from the standard fact from toric geometry that $\sum_i (C \cdot D_i) v_i = 0$ for any curve class C . Taking non-toric blowups changes the intersection numbers, resulting in a singularity at the origin.

Remark 3.4. Recall from standard toric geometry that any primitive vector $v \in N$ corresponds to a prime divisor D_v supported on the boundary of some toric blowup of $(\overline{Y}, \overline{D})$, and a general vector kv with $k \in \mathbb{Z}_{\geq 0}$ and v primitive corresponds to the divisor kD_v . Two divisors on different toric blowups are identified if there is some common toric blowup on which their proper transforms are the same (equivalently, if they correspond to the same valuation on the function field). Since taking proper transforms under the toric model gives a bijection between boundary components of (Y, D) and boundary components of $(\overline{Y}, \overline{D})$ (and similarly for the boundary components of toric blowups), we see that points of $U^{\text{trop}}(\mathbb{Z})$ correspond to multiples of divisors on compactifications of U .

3.3. Another Construction of U^{trop} . We now give another construction of the canonical integral linear structure, this time more closely related to the cluster picture. Given a seed S , consider the non-frozen seed vectors $\{e_i\}_{i \in I \setminus F}$. Recall that $v_i := p_2^*(e_i) \in U^{\text{trop}} := p_2^*(\mathcal{A}^{\text{trop}}) \subset \mathcal{X}^{\text{trop}}$ (cf. §2.5). The integral linear structure on U^{trop} agrees with that of the vector space U_S^{trop} (with the lattice $\overline{N}_{2,S}$ as the integral points) on the complement of the rays $\rho_i := \mathbb{R}_{\geq 0} v_i$ $i \in I \setminus F$. By repeatedly mutating, this determines the integral linear structure everywhere.

For yet another perspective, consider a line L in U_S^{trop} which crosses a ray ρ_i as above. Viewed as a piecewise-straight line in U^{trop} with its canonical integral linear structure, L will appear to be bending away from the origin when it crosses ρ_i . Lines L which appear straight in U^{trop} will appear to bend towards the origin in U_S^{trop} as follows: if u is a tangent vector to L on one side of ρ_i which points towards ρ_i , then on the other side, $u - |u \wedge v_i| v_i$ will be a tangent vector pointing away from ρ_i . Another way to state this perspective is that the “broken lines” (as in [GHK11] and [GHKK]) in

¹⁰We assume here that there are more than 3 rays in Σ , so that $\sigma_{i-1,i} \cup \sigma_{i,i+1}$ is not all of $N_{\mathbb{R}}$. This assumption can always be achieved by taking toric blowups of (Y, D) . Alternatively, it is easy to avoid this assumption, but the notation and exposition becomes more complicated. We will therefore continue to implicitly assume that there are enough rays for whatever we are trying to do.

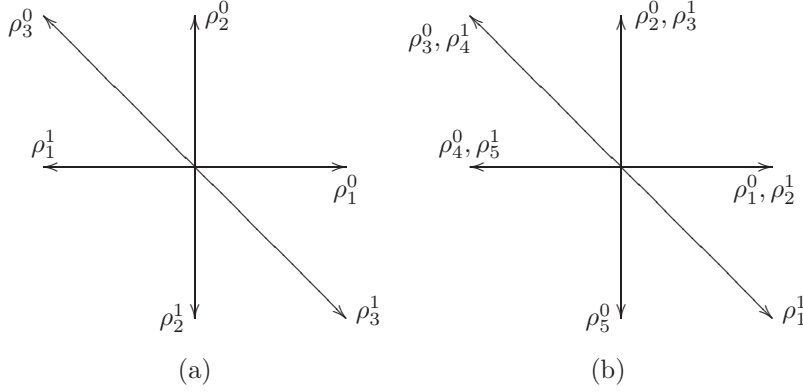


FIGURE 3.2. (a): Cubic surface developing map. We let ρ_i^j denote $\delta_{\rho_{D_1}, \rho_{D_2}}^j(\rho_{D_i})$.
 (b): $\overline{\mathcal{M}}_{0,5}$ developing map, with ρ_i^j labelled for $j = 0, 1$.

U^{trop} which are actually straight with respect to the canonical integral linear structure are exactly those which bend towards the origin as much as possible.

3.4. The Developing Map. We now describe a tool from [GHK11] that is useful for doing explicit computations on U^{trop} . Consider the universal cover $\xi : \tilde{U}_0^{\text{trop}} \rightarrow U_0^{\text{trop}} := U^{\text{trop}} \setminus \{0\}$. Note that $\tilde{U}_0^{\text{trop}}$ has a canonical integral linear structure pulled back from U_0^{trop} . The integral points are $\tilde{U}_0^{\text{trop}}(\mathbb{Z}) := \xi^{-1}[U_0^{\text{trop}}(\mathbb{Z})]$. Furthermore, a ray $\rho \in U_0^{\text{trop}}$ pulls back to a family of rays ρ^j , $j \in \mathbb{Z}$, projecting to ρ (we arbitrarily choose a ray in $\tilde{U}_0^{\text{trop}}$ to be ρ_0 and then assign the other indices so that they increase as we go counterclockwise).

Suppose that $v \in \rho_0$ and $v' \in \rho'_0$ are primitive vectors in $\tilde{U}_0^{\text{trop}}$ spanning the integral points of $\sigma_{v,v'}$. Then there is a unique linear map $\delta_{\rho,\rho'} : \tilde{U}_0^{\text{trop}} \rightarrow \mathbb{R}^2 \setminus \{0\}$ such that $\delta_{\rho,\rho'}(v) = (1, 0)$ and $\delta_{\rho,\rho'}(v') = (0, 1)$. We call this the *developing map* with respect to ρ and ρ' . We will often leave off the subscripts if they are not relevant, or we will write δ_ρ if only the image ρ of the first ray is relevant. δ is an integral linear immersion, and $\delta(\tilde{U}_0^{\text{trop}}(\mathbb{Z})) \subseteq \mathbb{Z}^2 \setminus \{(0, 0)\}$. A superscript $j \in \mathbb{Z}$ on δ will indicate that we are considering the j^{th} sheet of δ (e.g., $\delta^j(\rho) := \delta(\rho^j)$ for $\rho \in U_0^{\text{trop}}$).

Example 3.5. Consider the cubic surface (as in Example 2.14) constructed by taking two non-toric blowups on each of the three boundary divisors D_1 , D_2 , and D_3 of \mathbb{P}^2 . The intersection matrix

$$H := (D_i \cdot D_j) \text{ is } H = \begin{pmatrix} -1 & 1 & 1 \\ 1 & -1 & 1 \\ 1 & 1 & -1 \end{pmatrix} \text{ and Equation 3 (or the construction from charts) implies}$$

that $\delta_{\rho_{D_1}, \rho_{D_2}}^0(v_3) = (-1, 1)$, and $\delta^j(v) = (-1)^j \delta^0(v)$. See Figure 3.2 (a).

Example 3.6. Consider $(\overline{\mathcal{M}}_{0,5}, D = D_1 + \dots + D_5)$ constructed from the toric surface $(\mathbb{P}^2, \overline{D} = \overline{D}_1 + \overline{D}_2 + \overline{D}_4)$ by making toric blowups at $D_1 \cap D_4$ and $D_2 \cap D_4$, as well as one non-toric blowup on each of \overline{D}_1 and \overline{D}_2 . We then have five boundary components, each with self-intersection -1 . A developing map takes the rays of the fan to $(1, 0)$, $(0, 1)$, $(-1, 1)$, $(-1, 0)$, and $(0, -1)$, respectively, and then restarts with $(1, -1)$ and $(1, 0)$. See Figure 3.2 (b).

3.5. Monodromy About the Origin. We now consider what happens when we parallel transport a tangent vector v in $T_p U^{\text{trop}}$ counterclockwise around the origin. We use the embedding of a cone

in the tangent spaces of its points (which are all identified via parallel transport in the cone), and we use the notation $\delta^i := \delta_{\rho_{D_1}, \rho_{D_2}}^i$.

Example 3.7. Suppose $Y \rightarrow \bar{Y}$ consists of a single non-toric blowup on, say, D_1 . Then $\delta^0(v_1) = \delta^1(v_1) = (1, 0)$. However, $\delta^0(v_2) = (0, 1)$ while $\delta^1(v_2) = (1, 1)$. We can view parallel transporting counterclockwise around the origin as parallel transporting up one sheet on the developing map, and then the monodromy tells us how to write the transported vector in terms of $\delta^1(v_1)$ and $\delta^1(v_2)$. Thus, the monodromy is

$$\mu = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}.$$

Similarly, the monodromy is in general given by $\mu = (\delta^1(v_1) \ \delta^1(v_2))^{-1}$ with respect to the basis and developing map $\{\delta^0(v_1) = (1, 0), \delta^0(v_2) = (0, 1)\}$. We may view μ^{-k} as a map $\tilde{U}_0^{\text{trop}} \rightarrow \tilde{U}_0^{\text{trop}}$ which lifts points up k sheets. Note that the monodromy determines U^{trop} as an integral linear manifold: U^{trop} is the quotient of $\tilde{U}_0^{\text{trop}}$ by this \mathbb{Z} -action.

μ and μ^{-1} can always be factored into a product of unipotent matrices as follows: choose a toric model in which k_i non-toric blowups are taken on the divisor D_{v_i} , for $v_1, \dots, v_s \in N$ cyclically ordered counterclockwise. Then we have the factorization

$$(4) \quad \mu^{-1} = \mu_{v_s}^{-k_s} \cdots \mu_{v_1}^{-k_1},$$

where $\mu_{v_i}^{-k_i}$ is given in an oriented unimodular basis (v_i, v'_i) by the matrix $\begin{pmatrix} 1 & k_i \\ 0 & 1 \end{pmatrix}$. More generally, in a basis where $v_i = (a, b)$, the corresponding contribution to μ^{-1} is

$$(5) \quad \mu_{(a,b)}^{-k_i} := \begin{pmatrix} 1 - k_i ab & k_i a^2 \\ -k_i b^2 & 1 + k_i ab \end{pmatrix}.$$

Now μ can of course be expressed as $\mu_{v_1}^{k_1} \cdots \mu_{v_s}^{k_s}$. Alternatively (following from the fact that $A\mu_v A^{-1} = \mu_{Av}$), the monodromy matrix is given by the product $\mu = (\mu'_{v_s})^{k_s} \cdots (\mu'_{v_1})^{k_1}$ of matrices of the form

$$(6) \quad (\mu'_{v_i})^{k_i} := \mu_{(a_i, b_i)}^{k_i} = \begin{pmatrix} 1 + k_i a_i b_i & -k_i a_i^2 \\ k_i b_i^2 & 1 - k_i a_i b_i \end{pmatrix},$$

where $(a_1, b_1) := v_1$, and for $i > 1$, $(a_i, b_i) := (\mu'_{v_{i-1}})^{k_{i-1}} \cdots (\mu'_{v_1})^{k_1} v_i$. This can be interpreted by saying that before we can apply the monodromy contribution corresponding to v_i , we have to let the modifications we have made so far act on v_i .

Remark 3.8. We note that we can view these factorizations of μ as corresponding to factorizations of the singular point into several focus-focus singularities (i.e., singularities with unipotent monodromy) which are contained on their counterclockwise-ordered invariant rays. Each toric model of U determines such a factorization, but in general, different factorizations may correspond to toric models of non-deformation-equivalent log Calabi-Yau surfaces. Theorem 5.4 shows that this does not happen when μ^{-1} is one of Kodaira's monodromies.

Example 3.9. In Example 3.5, we have $\delta^1(v_1) = (-1, 0)$ and $\delta^1(v_2) = (0, -1)$, so we thus see that the monodromy for the cubic surface is $-\text{Id}$.

Example 3.10. Similarly, for Example 3.6 we have $\delta^1(v_1) = (1, -1)$ and $\delta^1(v_2) = (1, 0)$, so the monodromy is

$$\mu = \begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$$

with respect to the basis $\{\delta^0(v_1) = (1, 0), \delta^0(v_2) = (0, 1)\}$.

We have that U^{trop} is uniquely determined (as an integral linear manifold, up to isomorphism) by its monodromy, and that a factorization of the monodromy into unipotent elements with cyclically ordered *eigenrays* as above corresponds to a toric model for a Looijenga pair (up to deformation), and hence to a seed as in §2.4. By eigenray, we mean an eigenline with a chosen direction.

3.5.1. Mutations and Monodromy. We now describe the monodromy of U^{trop} directly in terms of seed data. Use $\mu_{i,S}$ to indicate that we are mutating a seed S with respect to a vector e_i . We consider the induced map on \overline{N}_2 , identified with $N_{\overline{Y}}$ as in §2.4, which we denote by $\overline{\mu}_{i,S}$. This is not hard to describe—it is given by Equation 1, with each e_i replaced by $v_i := p_2^*(e_i)$, and (\cdot, \cdot) replaced by the induced non-degenerate bilinear form $(\cdot \wedge \cdot)$ on $N_{\overline{Y}}$. Assume that the v_i 's are positively ordered with respect to the orientation induced by this form.

Now we observe that, in the notation of Equation 5, $\overline{\mu}_{i,S}^2 = \mu_{v_i}^{-d'_i}$. Thus, the inverse monodromy μ^{-1} of U^{trop} is $\mu^{-1} = \prod \overline{\mu}_{i,S}^2$, where the product is taken over all i , with the v_i 's being ordered counterclockwise as we move from right to left in the product. Note that the v_i 's in this formula are not affected by the previous mutations!

Alternatively, by Equation 6, we have $\mu = \overline{\mu}_{n,S^n}^{-2} \circ \overline{\mu}_{n-1,S^{n-1}}^{-2} \circ \cdots \circ \overline{\mu}_{1,S^1}^{-2}$, where $S^1 := S$, and $S^k := \mu_{k-1,S^{k-1}}^{-2}(S^{k-1})$. That is, we apply the inverse mutation twice with respect to one vector, then twice with respect to the next vector in the new seed, and so on.

This straightforward way to compute the monodromy is potentially useful because in §4 we classify cluster varieties in terms of their monodromies (among other things).

3.6. Lines in U^{trop} . For us, a *line* L in U^{trop} will simply mean the image of a linear map $L : \mathbb{R} \rightarrow U_0^{\text{trop}}$ (we abuse notation by letting L denote the map and its image). A line together with such a choice of linear map will be called a *parametrized line*.

The *signed lattice distance* of a parametrized line L from the origin is given by the skew-form $L(t) \wedge L'(t)$, where we use the canonical identification of the vector from 0 to $L(t)$ with a vector in $T_{L(t)}$. Note that the lattice distance does not depend on t . We will write $L^{>0}$ to denote that a line L has positive lattice distance from the origin (i.e., goes counterclockwise about the origin), or $L^{<0}$ to denote that it has negative lattice distance from the origin.

We will say that a parametrized line L *goes to infinity parallel to* q if, for any open cone $\sigma \ni q$, there is some $t_\sigma \in \mathbb{R}$ such that $t > t_\sigma$ implies $L(t) \in \sigma$, $L'(t) = q$ under parallel transport in σ . Similarly for *coming from infinity parallel to* q , with $t > t_\sigma$ replaced by $t < t_\sigma$ and $L'(t) = q$ replaced with $-L'(t) = q$.

We let $L(\infty)$ and $L(-\infty)$ denote the directions in which L goes to and comes from infinity. We use the subscript q to indicate that a line L goes to infinity parallel to q . For example, $L_q^{>0}$ denotes a line which goes to infinity parallel to q with the origin on its left.

We say that an unparametrized line goes to infinity parallel to q if it admits a parametrization which does. In general, a line need not go to infinity at all. In fact, one characterization of U being positive is that every line both goes to and comes from infinity, cf. §4.3.

We note that the monodromy about the origin in U^{trop} allows lines to wrap around the origin and self-intersect. We say that a line L *wraps* if it intersects every ray, except possibly one, at least once. It wraps k times if it hits each ray at at least k times, except possibly for one ray which it may hit only $(k - 1)$ times.

Example 3.11. If (Y, D) is the cubic surface introduced in Example 3.5, then for any ray $\rho \subset U^{\text{trop}}$, $U^{\text{trop}} \setminus \rho$ is isomorphic as an integral linear manifold to an open half-plane. Both ends of any line will go to infinity in the same direction. If we now make a non-toric blowup on some $D_{\rho q}$, then in the new integral linear manifold, any line will self-intersect unless both ends will go to infinity parallel to q .

3.7. Some Integral Linear Automorphisms of U^{trop} . Assume that U is positive, so lines to infinity on both ends. Given a point q in U^{trop} , define

$$(7) \quad \nu_+(q) := L_q^{>0}(-\infty), \quad \nu_-(q) := L_q^{<0}(-\infty).$$

Intuitively, both operations correspond to “negating” a vector in the integral linear manifold, but using different choices of charts. These clearly lift to maps $\tilde{\nu}^+$ and $\tilde{\nu}^- : \tilde{U}_0^{\text{trop}} \rightarrow \tilde{U}_0^{\text{trop}}$, which may be viewed as rotation 180° clockwise or counterclockwise, respectively.

Lemma 3.12. ν_+ and ν_- are integral linear.

Proof. This follows from $\tilde{\nu}^\pm$ being integral linear, which is clear since 180° rotations of \mathbb{R}^2 are integral linear. \square

We will see in Proposition 5.3 that ν_\pm are induced by Γ .

3.8. Useful Facts from [Man14]. The following is a restatement of a Lemmas 3.7 and Corollary 3.8 from [Man14]:

Lemma 3.13. *Let $L \subset U^{\text{trop}}$ be a line which does not wrap. Let u and v be the directions in which L goes to infinity. Let $\sigma_L \subset U^{\text{trop}}$ be the closed cone which is bounded by u and v and which does not contain any points of L . Then some compactification of U admits a toric model whose non-toric blowups are all along divisors corresponding to rays in σ_L . Furthermore, if one restricts to $\sigma_L \setminus \rho_u$ or $\sigma_L \setminus \rho_v$, then the choices of blowup points here is uniquely determined.*

[GHK11] constructs a family $\mathcal{V} \rightarrow \text{Spec } B$ mirror to U which admits a canonical B -module basis of theta functions $\{\vartheta_q\}_{q \in U^{\text{trop}}(\mathbb{Z})}$. [GHK] shows that if U is positive, then it can be realized as a fiber of \mathcal{V} , thus giving theta functions on U . Recall from §2.1 that a global monomial is regular function on \mathcal{X} whose restriction to some seed \mathcal{X} -torus is a monomial. We also call the restriction to a fiber $U \subset \mathcal{X}$ of such a function a global monomial. §3.6 of [Man14] observes the following (phrased differently):

Lemma 3.14. *Take σ_L as in Lemma 3.13. For any $q \in \sigma_L$, ϑ_q is a global monomial.*

Assume U is positive, and let V denote a generic fiber of the mirror \mathcal{V} . For $q \in U^{\text{trop}}(\mathbb{Z})$, $v \in V^{\text{trop}}(\mathbb{Z})$, we can define $\vartheta_q^{\text{trop}}(v) := \text{val}_{D_v}(\vartheta_q)$, where D_v is the boundary divisor corresponding to v in some compactification of V . [Man14] extends $\vartheta_q^{\text{trop}}$ to all of V^{trop} and describes its fibers explicitly. In particular Corollary 4.11 of [Man14] implies:

Lemma 3.15. *Sets of the form $\{\vartheta_q^{\text{trop}} = d < 0\} \subset V^{\text{trop}}$ for fixed d are given by $Z(L)$ for some line L . Thus, if every line wraps, then every $\vartheta_q^{\text{trop}}$ is non-positive everywhere, and in fact, f^{trop} is non-positive everywhere for every regular function on V .*

Proof. The last statement uses that every regular function is a linear combination of theta functions, and valuations of linear combinations of theta functions are given by taking the minima of the valuations of each term (Remark 4.4 and the preceding paragraph of [Man14] explain why no cancellations occur). \square

3.9. The Tropicalization Determines the Charge. One natural question to ask is to what extent U^{trop} determines U . We will see in the next section that in many cases, U is uniquely determined up to deformation by U^{trop} . This is not always the case though: for example, there are two degree 8 Del Pezzo's with an irreducible choice of anti-canonical divisor which have the same U^{trop} but are not deformation equivalent. This subsection shows that U^{trop} does at least determine the number of non-toric blowups.

Definition 3.16. The *charge*¹¹ of a Looijenga pair (Y, D) is the number of non-toric blowups in a toric model for some toric blowup of (Y, D) .

Lemma 3.17. *A Looijenga pair $(Y, D = D_1 + \dots + D_n)$ with $n > 1$ and intersection matrix $H := (D_i \cdot D_j)$ has charge*

$$(8) \quad c(Y, D) = 12 - 3n - \text{Tr}(H)$$

Proof. First note that, for $n > 1$, toric blowups increase n by 1, decrease $\text{Tr}(H)$ by 3, and keep the charge constant, so Equation 8 is unaffected by toric blowups and blowdowns. Similarly, non-toric blowups decrease $\text{Tr}(H)$ by 1 and increase the charge by 1, so the validity of the equation is also unaffected by non-toric blowups. Since every Looijenga pair is related to a copy of the toric pair (\mathbb{P}^2, D) by some sequence of toric blowups, toric blowdowns, and non-toric blowups, it now suffices to just check this case. We have $c(\mathbb{P}^2, D) = 0$, $n = 3$ and $\text{Tr}(H) = 3$, so the equation holds. \square

An similar formula appears in [GHK]: $c(Y, D) = 12 - (n + K_Y^2)$.

Proposition 3.18. *Suppose that (Y, D) and (Y', D') are two Looijenga pairs with the same tropicalization U^{trop} . Then $c(Y, D) = c(Y', D')$.*

Proof. Let Σ_Y and $\Sigma_{Y'}$ be the corresponding fans in U^{trop} . There exists some nonsingular common refinement Σ which is the fan for a toric blowup of both (Y, D) and (Y', D') . The intersection matrices for these two toric blowups are the same, since each can be determined from Σ , so the claim follows from Lemma 3.17. \square

4. CLASSIFICATION

Here we give several equivalent classifications for the possible deformation classes of Looijenga pairs. These classifications are based on the intersection matrix H of D , the intersection form Q on $D_{\text{eff}}^\perp \subset D^\perp \cong K_2$ (see §2.4.1), the monodromy μ of U^{trop} , the properties of lines in U^{trop} , the global functions on U , the properties of the quiver for a corresponding cluster structure, and various

¹¹More generally, the charge of a log Calabi-Yau variety $(Y, D = D_1 + \dots + D_n)$ is given by $c(Y, D) := \dim(Y) + \text{rank}(\text{Pic}(Y)) - n$.

other properties. This may be viewed as a classification of rank-2 cluster varieties up to the notion of equivalence given in Definition 2.15. The classification is not totally new—for example, the cases that we refer to as “no lines wrap” or “some lines wrap” are simply the finite-type or acyclic cases, respectively, in the cluster language. However, we do offer new characterizations of these cases.

Throughout this section, D will be called *minimal* if it has no (-1) -components.

m

4.1. The Negative Definite Case. The following are equivalent, and have all appeared (along with some other equivalent statements) in some form in [GHK11], [GHK], or [GHK13].

- The **intersection matrix** $H = (D_i \cdot D_j)$ is negative definite.
- Any **developing map** δ as in §3.4 embeds the universal cover $\tilde{U}_0^{\text{trop}}$ of U_0^{trop} into a strictly convex cone in \mathbb{R}^2 .
- The **monodromy** satisfies $\text{Tr}(\mu) > 2$.
- All **lines** in U^{trop} wrap infinitely many times around the origin, meaning that they hit each ray infinitely many times.
- The **quadratic form** Q is not negative semi-definite.
- U and its deformations admit no non-constant global regular **functions**.
- D can be blown down to get a surface \bar{Y} with a cusp singularity. If D is minimal, $D_i^2 \leq -2$ for all i , and $D_i^2 \leq -3$ for some i .

See Example 1.9 of [GHK11] for the relationship between μ and the cusp singularity on \bar{Y} . In fact, much of [GHK11] is devoted to deformations of cusp singularities.

4.2. The Strictly Negative Semi-Definite Case. Once again, the following statements are all equivalent and can be found in [GHK11] and [GHK] (or follow easily).

- The **intersection matrix** H is negative semi-definite but not negative definite.
- Any **developing map** δ for U_0^{trop} identifies the universal cover of U_0^{trop} with a half-plane in \mathbb{R}^2 .
- The monodromy μ is $SL_2(\mathbb{Z})$ -conjugate to a matrix of the form $\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix}$, with $a > 0$.
- **Lines** in U^{trop} can be circles, or they can wrap infinitely many times around the origin.
- If D is minimal, then $D \in D^\perp$, meaning that either $D_i^2 = -2$ for all i , or D is irreducible with $D^2 = 0$.
- The **quadratic form** Q is negative semi-definite but not negative definite (since $Q(D) = 0$).
- (Y, D) is deformation equivalent to a Looijenga pair (Y', D') which admits an elliptic fibration having D' as a fiber.

As stated above, if D is minimal then it is either irreducible or consists of $n > 1$ (-2) -curves. The largest possible n here is 9. This follows from Lemma 3.17, which says that the charge is $c(Y, D) = 12 - 3n - \text{Tr}(H) = 12 - n$. The charge is by definition non-negative, giving us $n \leq 12$. Furthermore, the classifications below then imply that some lines do not wrap if $c(Y, D) \leq 2$, so then $n \leq 9$. A case with $n = 9$ can be explicitly constructed.

4.3. The Positive Cases. As a converse to the above cases, we have that the following are equivalent:

- The **intersection matrix** H is not negative semi-definite.
- The **developing map** for U_0^{trop} is not injective.
- **Lines** in U^{trop} wrap at most finitely many times, so both ends of each line go to infinity.

- The **quadratic form** Q is negative definite.
- U is deformation equivalent to an affine surface.
- U is a minimal resolution of $\text{Spec}(\Gamma(U, \mathcal{O}_U))$, which is an affine surface with at worst Du Val singularities.
- D supports a D -ample divisor.

If any of these conditions hold, we say that U is *positive*. We have several sub-cases:

4.3.1. All Lines Wrap/Positive Non-Acyclic Cases.

Theorem 4.1. *The following are equivalent:*

- (1) **Lines** in U^{trop} all wrap, but only finitely many times.
- (2) Every sheet of the **developing map** is convex, but the developing map is not injective.
- (3) Non-zero global regular **functions** on U are not generically 0 along any boundary divisor of any compactification (Y, D) of U (i.e, the corresponding valuations are non-positive). On the other hand, there are enough global regular functions that $\dim \text{Spec } \Gamma(U, \mathcal{O}_U) = 2$.
- (4) The inverse **monodromy matrix** μ^{-1} is conjugate to a Kodaira matrix¹² of type I_k^* , II^* , III^* , or IV^* .
- (5) If D is minimal, then either $D = D_1 + D_2$ with $D_1^2 = 0$ and $-1 \neq D_2^2 \leq 0$ (up to re-labelling), or D is irreducible with $1 \leq D^2 \leq 4$.
- (6) U can be constructed from (\mathbb{P}^2, D) , with $D = D_1 + D_2 + D_3$ a triangle of lines, by blowing up d_i times on D_i for each i , with (d_1, d_2, d_3) as in the final column of Table 1. Equivalently, U comes from a seed with $E = (e_1, e_2, e_3)$, $F = \emptyset$, $\langle \cdot, \cdot \rangle = \begin{pmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{pmatrix}$, and multipliers (d_1, d_2, d_3) as in the final column of Table 1.
- (7) $D_{\text{Eff}}^\perp = D^\perp$, and the **quadratic form** Q is of type D_n ($n \geq 4$) or E_n ($n = 6, 7, \text{ or } 8$).

Proof. (1) \Leftrightarrow (2) is clear from the definitions. (1) \Leftrightarrow (3) follows immediately from Lemma 3.15 (the ring of global regular functions being two-dimensional is equivalent to positivity).

For (1) \Rightarrow (5), using the construction of U^{trop} from charts in Remark 3.2, we can easily see that having any $D_i^2 > 0$ with D not irreducible would allow a line to not wrap. On the other hand, having every $D_i^2 \leq -2$ would mean we are in a negative semi-definite case. So if D is minimal and not irreducible, then D_i^2 must be 0 for some i . D having more than one additional component would allow a non-convex sheet of the developing map, so the claim follows, except for when D is irreducible. If D is irreducible and $D^2 > 4$, then the proper transform of D after taking a toric blowup would have positive self-intersection, which we have already ruled out, and $D^2 < 1$ would mean we are in a negative semi-definite case.

For (5) \Rightarrow (2), observe that in the $D_1^2 = D_2^2 = 0$ case, every sheet of any developing map is convex (but not strictly convex). The other cases come from non-toric blowups and toric blow-downs of this, so the sheets of their developing maps will of course still be convex (non-toric blowups make these sheets “more convex”).

(5) \Leftrightarrow (4) is a straightforward check. Note that we now have the equivalence of (1) through (5).

¹²In [Kod63], Kodaira listed the matrices which can appear as monodromies about singular fibers of elliptic fibrations of surfaces. See Tables 1 and 2 for a list of these matrices.

(6) \Rightarrow (7) is also straightforward. For U generic, D^\perp is generated by classes of the form $E_{i,j_1} - E_{i,j_2}$ (where $E_{i,j}$ denotes the exceptional divisor from a non-toric blowup on D_i), together with a class of the form $L - E_{1,j_1} - E_{2,j_2} - E_{3,j_3}$, where L is the class of a generic line in \mathbb{P}^2 . If we choose all the blowup points on each D_i to be infinitely near, and choose the blowup points on different D_i 's to be colinear, then D^\perp is generated by effective divisors with the correct intersections.

(7) \Rightarrow (1) because Q of type D_n or E_n implies that Q is negative definite, so by the above characterizations, we are not in an H negative semi-definite case. We also cannot be in a some lines wrap case because, as we see below, $Q|_{D_{\text{eff}}^\perp}$ in these cases is a direct sum of A_{n_i} 's.

It now suffices to show that (5) \Rightarrow (6) (since (4) \Leftrightarrow (5), this means we are showing that U^{trop} really does determine the deformation type of U in these cases). For the I_0^* case, we have $\mu^{-1} = -\text{Id}$. Such a U^{trop} contains a reflexive polytope with 3 integral points on the boundary, and this implies that U must be an affine cubic surface (cf. Example 5.21 in [Man14]), which we know can be obtained as in Example 3.5.

Now for the I_k^* cases, we can choose a compactification (Y, D) of U with $D_1^2 = D_2^2 = -1$ and $D_3^2 = -1 - k$. The divisor $C := D_1 + D_2$ has $C \cdot D_1 = C \cdot D_2 = C^2 = 0$, and $C \cdot D_3 = 2$. By Riemann-Roch, $\dim |C| \geq 1$. If C is the only singular element of some pencil $\mathbb{P}^1 \subset |C|$, then (for U generic in its deformation class) $Y \setminus C$ is a \mathbb{P}^1 -bundle over \mathbb{A}^1 , hence has Euler characteristic 2. So then Y has Euler characteristic 5. However, we know from §3.9 that U^{trop} determines the charge c of (Y, D) , which in this situation is $6 + k$. One checks that the Euler characteristic of a Looijenga pair with n boundary components and charge c is $n + c$, which in this case is $9 + k > 5$. So $|C|$ must contain other singular curves. These must contain irreducible rational components E_1, E_2 with $E_i \cdot D_3 = 1$ and $E_i^2 = -1$. Blowing down either of these is a non-toric blowdown and reduces us to the I_{k-1}^* case, so the claim follows by induction.

For the IV^* case, we have a compactification of U with $D = D_1 + D_2 + D_3$, $D_1^2 = -1$, $D_2^2 = D_3^2 = -2$. Note that $D \cdot D_1 = 1$, while $D \cdot D_2 = D \cdot D_3 = 0$, so $\dim |D| \geq 1$. Thus, there is some point on D_1 which we can blow up to get a new pair (\tilde{Y}, \tilde{D}) , with exceptional divisor E , such \tilde{Y} admits an elliptic fibration with \tilde{D} being a fiber and E being a section. Such a surface can be obtained by blowing up 9 base-points for a pencil of cubics in \mathbb{P}^2 , with E being the exceptional divisor of the final blowup (cf. [HL02]). \tilde{D} then is the proper transform of one of the cubics \overline{D} in the pencil, so there must have been 3 base-points on each component \overline{D}_i of \overline{D} . Thus, after blowing E down, we see that Y must contain disjoint (-1) -curves hitting each component of D . Blowing down a (-1) -curve hitting, say, D_2 , reduces to the I_1^* case we have already dealt with.

A similar argument works for the III^* case using a compactification of U with $D = D_1 + D_2$, $D_1^2 = -1$, $D_2^2 = -2$, and blowing up a point in D_1 to get a surface with an elliptic fibration. The II^* case is also similar, using D irreducible with self-intersection 1 and blowing up some point in D to get a surface with an elliptic fibration. □

Table 1 summarizes the different cases from the above theorem.

4.3.2. Not All Lines Wrap/Acyclic Cases.

Theorem 4.2. *The following are equivalent:*

- (1) U^{trop} contains a **line** which does not wrap.

Kodaira Matrix	Cartan Form Q	Monodromy μ	(d_1, d_2, d_3)
I_k^* ($k \geq 0$)	D_{n+4}	$\begin{pmatrix} -1 & n \\ 0 & -1 \end{pmatrix}$	$(2, 2, 2+n)$
IV^*	E_6	$\begin{pmatrix} 0 & 1 \\ -1 & -1 \end{pmatrix}$	$(2, 3, 3)$
III^*	E_7	$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$	$(2, 3, 4)$
II^*	E_8	$\begin{pmatrix} 1 & 1 \\ -1 & 0 \end{pmatrix}$	$(2, 3, 5)$

TABLE 1. Cases where all lines wrap.

- (2) Some compactification of U admits a toric model $Y \rightarrow \overline{Y}$ for which all the non-toric blowups are on divisors corresponding to rays in one half of $N_{\overline{Y}}$. I.e, there is some seed S for which all of the non-frozen vectors' images in $p_2^*(N)$ lie in one half of the plane.
- (3) Cluster varieties corresponding to U are **acyclic**.
- (4) The intersection of the (Langland's dual) **cluster complex** $\mathcal{C} \subset \mathcal{X}^{\text{trop}}$ with U^{trop} is nonempty.
- (5) There exists a **global monomial** on U .
- (6) The **quadratic form** Q on D^\perp is negative definite, and $Q|_{D_{\text{Eff}}^\perp}$ is a direct sum of A_{n_i} 's. In fact, it is $A_{d'_1-1} \oplus \cdots \oplus A_{d'_m-1}$, where the (d'_i) 's are the modified multipliers for a coprime seed corresponding to U (equivalently, d'_i is the number of non-toric blowups on D_i in a toric model for a compactification U).

Proof. (1) \Leftrightarrow (2) is Lemma 3.13. (2) \Leftrightarrow (3) was observed in §2.1.1.

For (2) \Leftrightarrow (4), note that for some seed vector e_i for a seed S , the set $\{e_i \geq 0\} \cap U^{\text{trop}}$ is the same as the set $(v_i \wedge \cdot) \geq 0$, where \wedge is the symplectic form on U^{trop} induced by $[\cdot, \cdot]$. The intersection of these positive half-spaces for all non-frozen e_i 's is clearly nonempty if and only if S is as in (2).

(1) \Rightarrow (5) follows from Lemma 3.14. For (5) \Rightarrow (1), note that for a global monomial ϑ_q , the tropicalization $\vartheta_q^{\text{trop}}$ is positive somewhere, and so Lemma 3.15 implies that the fibers $\vartheta_q^{\text{trop}} = d < 0$ are lines which do not wrap.

(6) \Rightarrow (1) because if every line does wrap (possibly infinitely many times), then we have seen that either Q is not negative-definite or $Q|_{D_{\text{Eff}}^\perp}$ is of type D_n or E_n .

For (2) \Rightarrow (6), first note that Q is negative definite on D^\perp by positivity of U . Now, let $(Y, D) \rightarrow (\overline{Y}, \overline{D})$ be the toric model corresponding to a seed with all non-toric blowups corresponding to rays in one half of the plane $N_{\overline{Y}}$. For any curve \overline{C} in \overline{Y} , $\sum (\overline{C} \cdot \overline{D}_i) v_i = 0$ where v_i is the primitive vector in $N_{\overline{Y}}$ corresponding to \overline{D}_i . If \overline{C} is the image of an irreducible effective curve $C \in D^\perp$, then $\overline{C} \cdot \overline{D}_i \geq 0$ for all i , and $\overline{C} \cdot \overline{D}_i$ can only be positive if there is a non-toric blowup point somewhere in $\overline{C} \cap \overline{D}_i$. Thus, each $\overline{C} \cdot \overline{D}_i$ must actually be 0, so C must have been supported on an exceptional divisor. Thus, D_{Eff}^\perp is generated by classes obtained by taking the d'_i blowups to be infinitely near, and then taking the $d'_i - 1$ exceptional divisors which do not intersect D . \square

Let \mathcal{C}_U denote the union of all cones σ_L for lines L which do not wrap, where σ_L is defined as in Lemma 3.13. We note that the argument for (2) \Leftrightarrow (4) above can be modified to prove the following:

Proposition 4.3. \mathcal{C}_U is the intersection of the (Langland's dual) cluster complex \mathcal{C} with $U^{\text{trop}} \subset \mathcal{X}^{\text{trop}}$.

This justifies [Man14] calling \mathcal{C}_U the cluster complex.

4.3.3. No Lines Wrap/Finite-Type Cases.

Theorem 4.4. *The following are equivalent:*

- (1) No **Lines** in U^{trop} wrap.
- (2) No sheet of the **developing map** is convex.
- (3) The Laurent phenomenon holds for the \mathcal{X} -space, meaning that each X_i is a global monomial. Furthermore, the **global monomials** form an additive basis for the global function on U .
- (4) The inverse **monodromy** matrix μ^{-1} is a Kodaira matrix of type I_k , II , III , or IV .
- (5) Cluster structures for U are of **finite type**, meaning that they have only a finite number of distinct seeds.
- (6) For some equivalent maximally factored seed, the corresponding quiver (after removing frozen vectors) is of type A_1^k ($k \in \mathbb{Z}_{\geq 0}$), A_2 , A_3 , or D_4 .
- (7) The (Langland's dual) **cluster complex** $\mathcal{C} \subseteq \mathcal{X}^{\text{trop}}$ contains all of U^{trop} , and in fact is all of $\mathcal{X}^{\text{trop}}$.

Proof. (1) \Leftrightarrow (2) is obvious. (1) \Leftrightarrow (3) follows from Lemma 3.14.

To see that (1) implies (5), we need Lemma 3.13, which says that for any line $L_q^{d<0}$ which does not wrap, there are only finitely many (-1) -curves hitting boundary divisors corresponding to rays in the cone σ_L bounded by $L_q^{d<0}(\pm\infty)$. Since no lines wrap, we can cover U^{trop} by finitely many cones of the form σ_L , and so there are only finitely many (-1) -curves in Y hitting the boundary. Since seeds correspond to certain finite subsets of this collection of (-1) -curves, the claim follows.

(5) \Leftrightarrow (6) follows from a well-known result of [FZ03], which says that a cluster algebra is of finite type if and only if the matrix $(-|\epsilon_{ij}| + 2\delta_{ij})_{i,j \in I \setminus F}$ is a finite type Cartan matrix. One easily checks that the only quivers of this type which produce rank 2 cluster varieties are those listed in the statement of theorem, along with types B_2 , B_3 , and G_2 , which are equivalent to types A_3 , D_4 , and D_4 , respectively, in the sense of Definition 2.15.

One can easily check (6) \Rightarrow (4) by explicit computations: the A_1^k , A_2 , A_3 , and D_4 quivers correspond to the I_k , II , III , and IV matrices, respectively. (4) \Rightarrow (1) is now automatic.

For (5) \Leftrightarrow (7), recall that seeds are in bijection with cones of the cluster complex. For any boundary wall W of any cone in \mathcal{C} , both sides of W will always be in \mathcal{C} , so if there are only finitely many cones, then \mathcal{C} must fill up all of $\mathcal{X}^{\text{trop}}$. Conversely, if there are infinitely many cones, then they must “bunch up” near some ray ρ which is not in \mathcal{C} . \square

Table 2 lists the cases where no lines wrap, along with their basic properties. We once again use the notation (d_1, d_2, d_3) to indicate that such a Looijenga pair can be obtained by starting with the toric variety $(\mathbb{P}^2, D = D_1 + D_2 + D_3)$, and then blowing up d_1 , d_2 , and d_3 points on D_1 , D_2 , and D_3 , respectively.

Remark 4.5. Without frozen vectors, the I_k cases, $k \geq 0$, are actually of rank 0. Thus, although we tend to ignore frozen vectors, they are necessary for constructing these examples. They are also necessary for many other examples—this was reflected in Construction 2.12 when we required that the vectors u_1, \dots, u_m generate $N_{\overline{Y}}$.

Quiver	Kodaira Matrix	Cartan Form Q	Monodromy μ	(d_1, d_2, d_3)
A_1^k ($k \geq 0$)	I_k	A_{k-1}	$\begin{pmatrix} 1 & -k \\ 0 & 1 \end{pmatrix}$	$(k, 0, 0)$
A_2	II	A_0	$\begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix}$	$(1, 1, 0)$
A_3	III	A_1	$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$	$(2, 1, 0)$
D_4	IV	A_2	$\begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}$	$(3, 1, 0)$

TABLE 2. Cases where no lines wrap.

4.3.4. *Some Lines Wrap and Some Do Not.*

Proposition 4.6. *The following are equivalent:*

- (1) *Some **Lines** in U^{trop} wrap, while others do not.*
- (2) *Some (but not all) sheets of the **developing map** are convex.*
- (3) *Cluster varieties corresponding to U are **acyclic** but not of **finite type**.*
- (4) *The **monodromy** satisfies $\text{Tr}(\mu) \leq -2$, and if there is equality, then μ is conjugate to $\begin{pmatrix} -1 & a \\ 0 & -1 \end{pmatrix}$ for some $a < 0$.*

Proof. (1) \Leftrightarrow (2) is easy, and (1) \Leftrightarrow (3) follows immediately from Theorems 4.2 and 4.4. The equivalence with (4) follows because all the other possibilities have been eliminated by the previous theorems. \square

5. CLUSTER MODULAR GROUPS

In this section, we explicitly describe the action of the cluster modular group Γ on U^{trop} in every positive rank 2 case. However, keeping track of frozen variables will overly complicate matters and will obscure certain meaningful symmetries. We therefore define a new group Γ' for which we drop the requirement that frozen vectors are permuted by Γ (we allow frozen vectors to be mapped anywhere). This may introduce more automorphisms than one wishes to consider, so one could also require that elements of Γ' do not act trivially on both U^{trop} and on the set of non-frozen vectors.¹³ Γ can be recovered by taking the subgroup of Γ' which is the stabilizer of the set of frozen vectors (roughly meaning that the corresponding cluster transformations extend over certain partial compactifications).

5.1. The Action on U^{trop} . Let $\text{Aut}(U^{\text{trop}})$ be the group of orientation preserving integral linear automorphisms of U^{trop} . As in Theorem 2.20, we have $\Gamma' \subset \text{Aut}(\mathcal{X}^{\text{trop}})$, and by restriction we have a natural map $r : \Gamma' \rightarrow \text{Aut}(U^{\text{trop}})$. Let κ denote the kernel. Recall that elements of Γ' are represented by certain cluster transformations, i.e., compositions of mutations and seed isomorphisms. Elements of κ must act trivially on U^{trop} , so they come from the cluster transformations whose only seed isomorphisms are ones such that if $e_i \mapsto e_j$, then $v_i = v_j$. What we plan to describe is the image $G := r(\Gamma') \subseteq \text{Aut}(U^{\text{trop}})$. Note that if S is totally coprime (achievable through a sequence of equivalences and mutation equivalences by Proposition 2.17), then $G = \Gamma'$.

¹³Since we are mainly interested in the image of the map $\Gamma' \rightarrow \text{Aut}(U^{\text{trop}})$, this extra requirement is not important.

Conjecture 5.1. $G = \text{Aut}(U^{\text{trop}})$ for all rank 2 cases.

Recall $\nu_{\pm} \in \text{Aut}(U^{\text{trop}})$ from §3.7. We will see that at least these elements are always in G . Furthermore, from our descriptions of G below, one can explicitly check that the conjecture holds for the all-lines-wrap and no-lines-wrap cases—i.e., for the cases where μ^{-1} is one of Kodaira’s monodromies.

We now note that when considering U^{trop} with its canonical integral linear structure, mutating with respect to a seed vector e_i for some seed S does not change the positions of any of the v_j ’s in U^{trop} except for v_i . This is because the centers of the blowups corresponding to the e_j ’s, $j \neq i$, are preserved by mutation, and the divisor containing the center is the one corresponding to v_j . Thus, we only have to worry about what happens to v_i . This vector is negated with respect to the vector space structure U_S^{trop} . We now interpret what this means in different cases.

As in §3.5.1, we use the notation $\mu_{i,S}$ to indicate that we are mutating a seed S with respect to a vector e_i . We let S_{i_1, \dots, i_k} denote the seed obtained from S by mutating with respect to the seed vectors with indices i_1 , then i_2 , and so on up through i_k .

5.2. When Lines Do Not Wrap. In the toric case we of course have $G = \Gamma' = \text{SL}_2(\mathbb{Z})$.

We saw in Lemma 3.13 that if a line L does not wrap, then (ignoring frozen vectors) there is a unique seed S for which each v_i is contained in $\sigma_L \setminus \rho$, where σ_L is the cone bounded by L and ρ is either boundary ray of this cone. Assume the v_i ’s are arranged in counterclockwise order v_1, \dots, v_s .

Note that any line in U^{trop} which does not intersect any ρ_{v_i} is also a straight line in U_S^{trop} . $L_{v_1}^{>0}$ and $L_{v_s}^{<0}$ are two such lines. Thus, $\mu_{e_1}^{\chi}$ has the effect of applying ν_+ to v_1 , while $\mu_{e_s}^{\chi}$ has the effect of applying ν_- to v_s .

Now note that $v_2, \dots, v_s, v'_1 := \nu_+(v_1)$ are all contained in $\sigma_{L_1} \setminus \rho_{v_1}$, so we can repeat the process, mutating v_2 , then v_3 , and so on. Alternatively, we could have done the reverse, mutating v_s first, then v_{s-1} , and so on. Since ν_{\pm} are integral linear automorphisms of U^{trop} by Lemma 3.12, we see that $m_- := \nu_- \circ \mu_{S, S_{1,2, \dots, s-1}} \circ \dots \circ \mu_{1,S}$ is an element of Γ , and similarly for the reverse, $m_+ := \nu_+ \circ \mu_{1, S_{s, s-1, \dots, 2}} \circ \dots \circ \mu_{s,S}$. We note that $r(m_{\pm}) = \nu_{\pm}$.

Of course, it might not be necessary to apply all s mutations above before getting a seed isomorphic to the original one. For example, in the type A_2 case of Theorem 4.4, performing a single mutation produces a seed isomorphic to the original. We may thus obtain fractional powers of ν_{\pm} . It is not hard to see that all elements of $r(\Gamma')$ must be of this form, except in the I_k cases (as we see below). Thus, if not all lines wrap and we are not in an I_k case ($k \geq 0$), then G is cyclic.

In terms of developing maps and the notation of §3.4, $\delta^0[\nu^+(v)] = -\delta^1(v)$, which we may think of as $-\mu^{-1}(v)$. Similarly, $\delta^0[\nu^-(v)] = -\delta^{-1}(v) = -\mu(v)$. From this one can see a relationship between powers of $-\mu$ and symmetries of the scattering diagram in U^{trop} .

For example, if we are in a case where some lines wrap and others do not (cf. Proposition 4.6), then the monodromy has two eigenlines ℓ_1 and ℓ_2 in \mathbb{R}^2 , or one eigenline with algebraic multiplicity 2 in the $\text{Tr}(\mu) = -2$ cases. Assume for now that $\text{Tr}(\mu) < -2$. Then $-\mu^{-1}$ has eigenvalues λ and λ^{-1} for some $\lambda \in (0, 1)$, and we can say ℓ_1 is the eigenspace corresponding to λ . We can identify U^{trop} with a half-space bounded by ℓ_1 , with the two outgoing rays of ℓ_1 identified. Let C be the cone bounded by ℓ_1 and ℓ_2 with ℓ_1 as the clockwise-most boundary ray. Then the interior of C is in fact \mathcal{C}_U , the intersection of the (Langland’s dual) cluster complex \mathcal{C} with U^{trop} —indeed, we see that $-\mu^{-1}$ moves vectors in the interior of C counterclockwise, as one expects ν_+ to do in \mathcal{C}_U . Let $\sigma_L \subset \mathcal{C}_U$ be a cone corresponding to a line L which does not wrap, and let ρ be either boundary ray of σ_L . Then $\sigma_L \setminus \rho$

Classification	G
I_0 (Toric)	$\mathrm{SL}_2(\mathbb{Z})$
I_k ($k > 0$)	$\mathbb{Z} \rtimes \mathbb{Z}/2\mathbb{Z}$
II	$\mathbb{Z}/5\mathbb{Z}$
III	$\mathbb{Z}/3\mathbb{Z}$
IV	$\mathbb{Z}/4\mathbb{Z}$
Some lines wrap.	\mathbb{Z}
I_0^*	$\mathrm{PSL}_2(\mathbb{Z})$
I_k^* ($k > 0$)	\mathbb{Z}
II^*	$\mathbb{Z}/2\mathbb{Z}$
III	$\{\mathrm{Id}\}$
IV	$\{\mathrm{Id}\}$

TABLE 3. The isomorphism class of the image G of $\Gamma' \rightarrow \mathrm{Aut}(U^{\mathrm{trop}})$ for the positive rank 2 cases. If S is totally coprime, then $\Gamma' = G$, and if there are no frozen vectors, then $\Gamma = \Gamma'$.

is a fundamental domain for the action of $\langle \nu_{\pm} \rangle$ on \mathcal{C}_U . We see that there is a similar action giving a periodic structure to the complement of C with ν_+ moving rays clockwise.

The cases where μ is conjugate to $\begin{pmatrix} -1 & a \\ 0 & -1 \end{pmatrix}$ are essentially the same except that $\lambda = 1$, $\ell_1 = \ell_2$, and the complement of \mathcal{C}_U is just this eigenspace (a single ray in U^{trop}). So in any case where some lines wrap and others do not, we get $G \cong \mathbb{Z}$, with ν_{\pm} generating a finite index subgroup.

For the II , III , and IV cases, $-\mu^{-1}$ has finite order, and so G will also have finite order. One can explicitly compute G in these cases to get the groups listed in Table 3.

For the I_k cases, $k \geq 1$, there are non-trivial cluster automorphisms which fix the non-frozen seed vectors. These form an infinite cyclic group N , generated by $\mu^{1/k}$, which is normal and has index 2 in G . $\nu_+^2 = \mu^{-2k}$, so ν_+ generates an index $2k$ subgroup, while $\mu \circ \nu_+$ generates a subgroup $H \cong \mathbb{Z}/2\mathbb{Z}$. We thus have $G = N \rtimes H \cong \mathbb{Z} \rtimes \mathbb{Z}/2\mathbb{Z}$.

We note that powers of the cluster transformations for the II , III , and IV cases give the trivial cluster transformations described in [FG09], Proposition 1.8, for their cases $h = 3, 4$, and 6 , respectively. The I_2 case with no frozen vectors (cf. Remark 4.5) corresponds to [FG09]'s $h = 2$ case.

5.3. When All Lines Wrap. Consider the I_0^* case. Take a coprime seed as in the second part of

Example 2.14. That is, take the seed S with no frozen vectors and with $\langle \cdot, \cdot \rangle$ given by $\begin{pmatrix} 0 & 1 & -1 \\ -1 & 0 & 1 \\ 1 & -1 & 0 \end{pmatrix}$,

and each $d_i = d'_i = 2$. We can identify v_1 , v_2 , and v_3 with $(2, 0)$, $(0, 2)$, and $(-2, -2)$ in $N_{\overline{Y}}$, respectively. We have $v'_1 := \mu_{3,S}(v_1) = v_1$, $v'_2 := \mu_{3,S}(v_2) = (-4, -2)$, and $v'_3 := \mu_{3,S}(v_3) = (2, 2)$. Note that there is a vector space isomorphism α taking the ordered triplet (v'_1, v'_3, v'_2) to the ordered triplet (v_1, v_2, v_3) . Thus, $\alpha \circ \mu_{3,S}$ gives an element of Γ which induces an automorphism $\alpha \in G$.

Note that α takes the ordered triplet (v_1, v_2, v_3) to the ordered triplet $(v_1, v_1 + v_2, v_2)$ (addition done in σ_{v_1, v_2} as defined in Notation 3.1). It is not hard to see from this that Γ' acts transitively on the scattering rays, so every scattering ray in U^{trop} looks the same. Thus, any automorphism of

U^{trop} is induced by an element of Γ' , so $G = \text{Aut}(U^{\text{trop}}) = \text{SL}_2(\mathbb{Z})/\{\pm \text{Id}\} = \text{PSL}_2(\mathbb{Z})$. Since we had no frozen vectors and the above cluster structure is totally coprime, we have $\Gamma = \Gamma' = G = \text{PSL}_2(\mathbb{Z})$, agreeing with [FG09]'s computation of this Γ in their Lemma 2.32.

For the other cases where all lines wrap, the elements of Γ are obtained similarly: Take the initial seed as above with different (d'_1, d'_2, d'_3) . In the I_k^* cases, take $d'_1 = 2 + k$, $d'_2 = d'_3 = 2$. Then we obtain an element of G exactly as above. However, unlike before, we cannot cycle the roles of the three seed vectors— v_1 is special. What we find is that $\Gamma = \mathbb{Z}$ —If we identify $U^{\text{trop}} \setminus \rho_{v_1}$ with the upper half plane, with $v_2 = (0, 1)$ and $v_3 = (-1, 1)$, then $x \in \mathbb{Z}$ corresponds to the automorphism taking v_2 to $(-x, 1)$ and v_3 to $(-x - 1, 1)$. In particular, we have that $\pm k$ corresponds to ν_{\pm} .

For the IV^* , III^* , and II^* cases, we take $d'_2 = 3$, $d'_3 = 2$, and $d'_1 = 3, 4$, or 5 , respectively. In the I_V^* case, when we apply the mutation with respect to v_3 , we can then compose with the seed isomorphism $v'_3 \mapsto v_3$, $v'_1 \mapsto v_2$, and $v'_2 \mapsto v_1$. This is the only nontrivial element of G in this case, so we have $G \cong \mathbb{Z}/2\mathbb{Z}$. One can check that this non-trivial element is in fact $\nu_+ = \nu_-$. In the III^* and II^* cases, we do not even have this element, and G is trivial.

Remark 5.2. We note that there is an orientation *reversing* automorphism in each of these three cases which, after mutating with respect to v_3 takes $v'_i \mapsto v_i$, for each i . Thus, one can obtain extra, potentially interesting symmetries of the scattering diagram by considering $\widehat{\Gamma}$ (as in §2.6) in place of Γ .

In the I_0^* , III^* , and II^* cases, one can check that ν_{\pm} are trivial. Thus, in conjunction with what we have seen in the other cases, we have found that:

Proposition 5.3. *ν_{\pm} are induced by the cluster modular group Γ' (which we do not require to preserve frozen vectors) in all the positive cases.*

We have now described G in all the positive cases. We summarize these findings in Table 3.

5.4. Strong deformation equivalence. We have shown that when μ^{-1} is any of Kodaira's monodromies, U^{trop} uniquely determines U up to isomorphism and deformation. In fact, we have something slightly stronger. We say that U and U' corresponding to the same U^{trop} are *strongly deformation equivalent* if we can deform one to the other while preserving the identifications of their divisorial valuations with $U^{\text{trop}}(\mathbb{Z})$ (cf. Remark 3.4). In other words, U and U' being strongly deformation equivalent means that even if we decorate the surfaces using their relationship with U^{trop} , we can still deform one to the other.

Theorem 5.4. *Suppose the monodromy of U^{trop} is one of Kodaira's monodromies. Then U^{trop} determines U up to strong deformation equivalence. In other words, for any log Calabi-Yau surface U corresponding to U^{trop} , any factorization of the singularity of U^{trop} into focus-focus singularities as in Remark 3.8 is induced by a toric model of U . Thus, there is a unique minimal consistent scattering diagram¹⁴ on U^{trop} .*

¹⁴The definition of a consistent scattering diagram (cf. [GHK11]) depends on several choices, including a choice of fan Σ , a lattice P^{gp} , a submonoid P , and a multi-valued convex integral piecewise linear function φ . When we say there is a unique consistent scattering diagram, we really mean that these choices uniquely determine the scattering diagram up to the notion of equivalence in [GPS09]. Specifying that the scattering diagram is minimal, meaning that no two rays have the same support and there are no trivial rays, removes the issue of equivalence. Alternatively, by uniqueness we mean that the support of the scattering diagram is uniquely determined, along with the scattering functions, up to the notion of equivalence in [GPS09] and a shift in their coefficients corresponding to a change of the above data.

Proof. In fact, one easily sees that the statements of the theorem hold in any situation where U^{trop} determines U up to deformation and isomorphism and where Conjecture 5.1 holds. We have seen that this includes all the cases corresponding to Kodaira’s monodromies.

For the statement about scattering diagrams, [GHK11] shows that the (strong) deformation class of U canonically determines a consistent scattering diagram (up to the choices described in the footnote). They prove the consistency of this scattering diagram by choosing a factorization of the monodromy into focus-focus singularities and then using this factorization to relate the scattering diagram to the ones from [GPS09]. [GPS09] observes that these scattering diagrams are uniquely determined up to equivalence by the data of the initial scattering diagram, which is equivalent to the data of the ordered monodromies of the focus-focus singularities. \square

Remark 5.5. We suggest here that the appearance of Kodaira’s matrices may have some geometric significance. The symplectic heuristic behind [GHK11]’s mirror construction (see their §0.6.1) assumes that U admits a special Lagrangian torus fibration over U^{trop} , or at least over a deformation of U^{trop} in which the singularity is factored into several singular points. Indeed, [Sym03] shows that there is at least a Lagrangian fibration when the singularity is factored. In the I_k cases there are explicit formulas for special Lagrangian fibrations—see [Gro01], or see [CU13] which begins with a nice brief presentation of this. Cases representing moduli of local systems will also have special Lagrangian fibrations like this (the Hitchin fibration), as pointed out to me by A. Neitzke. We furthermore hope that U (or at least some analytic open subset of U) admits a hyperkähler structure, and that for some rotation of the complex structure, the SYZ fibration over a neighborhood of the factored singularity in U^{trop} will become an elliptic fibration (again a standard part of the Hitchin system picture). We therefore suspect that [CV09]’s results on uniqueness of factorizations of singular fibers of elliptic fibrations may be related to our Theorem 5.4.

When [GHK11] constructs scattering diagrams for U^{trop} , they rely on information coming from U , or at least from U^{trop} with the singularity factored. Theorem 5.4 suggests that a scattering diagram is canonically determined for U^{trop} having any of Kodaira’s monodromies, independent of a choice of factorization of the monodromy. For other (log) Calabi-Yau manifolds of possibly higher dimension, more complicated singularities may again appear, but if the monodromies are factored into Kodaira’s monodromies, Theorem 5.4 implies that there is still a canonical way to introduce consistent scattering diagrams. This may allow one to easily avoid the “simplicity” assumption in [GS11] and the “ A_1 -singularity assumption” in [KS13].

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CENTRE FOR QUANTUM GEOMETRY OF MODULI SPACES, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF AARHUS,
 DK-8000 AARHUS C, DENMARK

E-mail address: tmandel@qgm.au.dk